



PCT

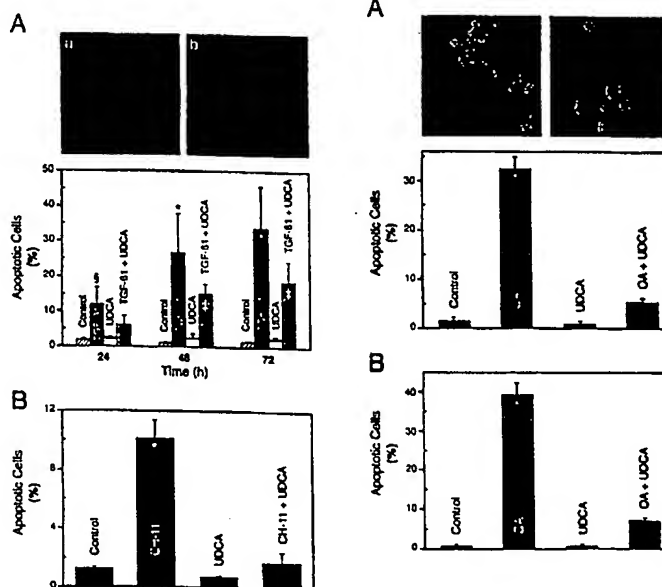
WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : A61K 31/56		A1	(11) International Publication Number: WO 99/15179
		(43) International Publication Date: 1 April 1999 (01.04.99)	
(21) International Application Number: PCT/US98/20168		(81) Designated States: CA, JP, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(22) International Filing Date: 25 September 1998 (25.09.98)			
(30) Priority Data: 60/060,040 25 September 1997 (25.09.97) US		Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.	
(71) Applicant (for all designated States except US): REGENTS OF THE UNIVERSITY OF MINNESOTA [US/US]; 100 Church Street Southeast, Minneapolis, MN 55455 (US).			
(72) Inventors; and			
(75) Inventors/Applicants (for US only): STEER, Clifford, J. [US/US]; 1924 Pinehurst Avenue, St. Paul, MN 55116 (US). KREN, Betsy, T. [US/US]; 810 29th Avenue South, Minneapolis, MN 55454 (US). FAN, Guangsheng [CN/US]; Apartment 11, 3900 Macalester Drive, St. Anthony, MN (US). RODRIGUES, Cecilia, M., P. [PT/PT]; Rua da Gruz Vermelha, 6-5D, P-1600 Lisbon (PT).			
(74) Agent: MUETING, Ann, M.; Mueting, Raasch & Gebhardt, P.A., P.O. Box 581415, Minneapolis, MN 55458-1415 (US).			

BEST AVAILABLE COPY

(54) Title: METHODS OF LIMITING APOPTOSIS OF CELLS



(57) Abstract

Methods for limiting apoptosis in a cell population by contacting such cells with a hydrophilic bile acid such as ursodeoxycholic acid (UDCA), salts thereof, and analogs thereof (e.g., glyco- and tauro-ursodeoxycholic acid), as shown in Figure 4.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

METHODS OF LIMITING APOPTOSIS OF CELLS

Cross-Reference to Related Applications

The present application claims priority to U.S. Provisional Patent

- 5 Application Serial No. 60/060,040, filed on September 25, 1997, which is incorporated herein by reference.

Background of the Invention

- Accumulation of bile acids within the hepatocyte is thought to play a key role in liver injury during cholestasis. Although the initial insult in certain
- 10 hepatobiliary diseases such as primary biliary cirrhosis may be immunological, cell injury is probably exacerbated by direct chemical damage from the hydrophobic bile acids. Although the cytotoxicity of hydrophobic bile acids to hepatocytes and a variety of other cell types has been attributed to the membrane disruptive effects from their detergent properties, it is now apparent that
- 15 nondetergent mechanisms are also involved. In contrast, hydrophilic bile acids such as ursodeoxycholic acid (UDCA) and its taurine and glycine conjugates appear to protect against cholestasis and the toxicity induced by the hydrophobic bile acids (Heuman et al., Gastroenterology, 100, 203-211 (1991) and Heuman et al., Gastroenterology, 106, 1333-1341 (1994)). Although the mechanism of
- 20 action is not entirely understood, the oral administration of UDCA markedly improves clinical and biochemical indices in some chronic liver diseases (Podda et al., Gastroenterology, 98, 1044-1050 (1990); Chazouillères et al., J. Hepatology, 11, 120-123 (1990); and Poupon et al., N. Engl. J. Med., 330, 1342-1347 (1994)). This protective effect appears to result from mechanisms beyond
- 25 simply displacing toxic bile acids from the liver.

- Bile acid-induced toxicity is typically characterized by hepatocyte swelling, disruption of membrane plasma integrity, and release of intracellular constituents. As a consequence, liver cell death has been characterized as loss of hepatocellular function associated with necrosis. Widespread hepatocyte
- 30 necrosis, however, is not a prominent feature in most cholestatic liver diseases. In fact, it now appears that hepatocyte cell death occurs more commonly by apoptosis than necrosis (Columbano et al., J. Cell. Biochem., 58, 181-190 (1995)). Apoptosis, or programmed cell death, is characterized by distinctive

characteristic formation of apoptotic bodies, consisting of nuclear fragments and intact cell organelles surrounded by plasma membrane. The internucleosomal degradation of DNA, which results in fragmentation in multiples of 180 base pairs, and the consequent appearance of a characteristic DNA ladder by gel electrophoresis has become an identifying feature of apoptosis at the molecular level.

Hydrophobic bile salts such as glycodeoxycholate and glycochenodeoxycholate directly induce apoptosis in isolated rat hepatocytes (Spivey et al., J. Clin. Invest., 92, 17-24 (1993) and Patel et al., J. Clin. Invest., 94, 2183-2192 (1994)). Moreover, it has been reported that bile salt induced apoptosis of hepatocytes involves activation of the protease cathepsin B through the protein kinase C-dependent pathway (Jones et al., Am. J. Physiol., 272, G1109-G1115 (1997)). Features of apoptosis have been observed in several types of liver diseases. In fact, it was recently reported that nuclear DNA fragmentation and *de novo* Bcl-2 expression were increased in primary biliary cirrhosis, and significantly inhibited in patients treated with UDCA (Koga et al., Hepatology, 25, 1077-1084 (1997)). Although the precise molecular mechanism of cytoprotection by UDCA is not completely known, it has been shown that ursodeoxycholate reduces the mitochondrial membrane damage from certain hydrophobic bile acids (Botla et al., J. Pharmacol. Exp. Ther., 272, 930-938 (1995)). In fact, the results suggested a physiochemical explanation for the bioenergetic form of cell injury associated with hydrophobic bile salts. UDCA cytoprotection may, in part, be due to inhibition of bile salt-induced mitochondrial membrane permeability. It is now apparent that disruption of mitochondrial function is a key factor in the genesis of apoptosis (Reed et al., Nature (Lond.), 387, 773-776 (1997)). This is supported by the observation that the cell nucleus and DNA fragmentation may not be required for cells to undergo apoptosis.

There are a number of agents other than hydrophobic bile acids that induce apoptosis. Furthermore, there are a number of mechanisms by which apoptosis is induced. Examples of such agents include TGF- β 1, anti-Fas antibody, okadaic acid, and ethanol. Thus, there is a need for agents that are

inhibitory to such inducers of apoptosis which are unrelated to hydrophobic bile acids.

Summary of the Invention

5 The present invention provides a method for limiting apoptosis (i.e., programmed cell death) of a mammalian cell population. The method comprises contacting the cell population with an effective amount of ursodeoxycholic acid, a salt thereof, an analog thereof, or a combination thereof, wherein the apoptosis is induced by a nonmembrane damaging agent, such as TGF- β 1, anti-Fas
10 antibody, or okadaic acid. The cell population can include, for example, hepatocytes and astrocytes. The contacting step can be performed *in vitro*, *in vivo*, and a combination thereof. As used herein, "*in vitro*" is to be distinguished from "*in vivo*." *In vitro* refers to an artificial environment location of the cell population to be treated, such as a cell culture in a tissue culture dish. *In vivo*
15 refers to a natural environment location of the cell population to be treated, such as in a mammalian body. Preferably, the cell population is a human cell population, and the contacting step involves administering an effective amount of ursodeoxycholic acid, a salt thereof, an analog thereof, or a combination thereof.

20 One aspect of the present invention provides a method that includes a step of administering to a patient an effective amount of ursodeoxycholic acid, a salt thereof, an analog thereof (e.g., glyco- and tauro-), or a combination thereof. Preferably, the step of administering comprises administering parenterally or intravenously.

25 The present invention also provides a method for limiting apoptosis of a mammalian cell population, the method comprising contacting the cell population with an effective amount of ursodeoxycholic acid, a salt thereof, an analog thereof, or a combination thereof, wherein the apoptosis is induced by ethanol.

30 Another aspect of the present invention is a method for limiting apoptosis of a human cell population. Preferably, the method includes contacting the cell population with an effective amount of a hydrophilic bile acid, a salt thereof, an

analog thereof, or a combination thereof, wherein the apoptosis is induced by a hydrophobic bile acid.

Yet another aspect of the invention is a method for limiting apoptosis of a mammalian cell population, wherein the method includes contacting the cell
5 population with an effective amount of a hydrophilic bile acid, a salt thereof, an analog thereof, or a combination thereof, wherein the apoptosis is induced by TGF- β 1, anti-Fas antibody, or okadaic acid.

Still another aspect of the present invention is a method for inhibiting apoptosis associated with a nonliver disease *in vivo*, the method including
10 administering ursodeoxycholic acid, a salt thereof, an analog thereof, or a combination thereof. The nonliver disease can be an autoimmune disease, a cardio-/cerebrovascular disease (e.g., stroke, myocardial infarction, and the like), or a neurodegenerative disease, for example.

The present invention also provides a method of reducing expression of
15 *c-myc* in a cell, the method comprising contacting the cell with an effective amount of ursodeoxycholic acid, salts thereof, or analogs thereof.

Yet another method of involves increasing levels of Bcl-X_L in a cell, the method comprising contacting the cell with an effective amount of ursodeoxycholic acid, salts thereof, or analogs thereof.

20 The present invention also provides a method of inhibiting Bax translocation from the cytoplasm of a cell to a mitochondrial membrane. This is believed to result in the inhibition of changes in the mitochondrion. The method includes a step of contacting the cell with an effective amount of ursodeoxycholic acid, a salt thereof, an analog thereof, or a combination thereof.

25 A further aspect of the present invention provides a method for limiting apoptosis of a mammalian cell population, the method comprising contacting the cell population with an effective amount of an apoptotic limiting compound selected from the group of ursodeoxycholic acid, a salt thereof, an analog thereof, and a combination thereof, wherein the apoptosis is induced by a
30 membrane damaging agent selected from the group consisting of unconjugated bilirubin, conjugated bilirubin, and a combination thereof.

As mentioned above, the cell population can be hepatocytes, astrocytes, and the like. The contacting step can occur *in vitro*, *in vivo*, and a combination thereof. In one embodiment, the cell population is a human cell population.

Preferably, the step of contacting comprises administering to a patient an effective amount of an apoptotic limiting compound selected from the group of ursodeoxycholic acid, a salt thereof, an analog thereof, and a combination thereof. In accordance with the present invention, the apoptotic limiting compound can be administered in combination with a pharmaceutically acceptable carrier. Alternatively, administering the apoptotic limiting compound can be administered parenterally. In another embodiment, administering the apoptotic limiting compound can be administered orally.

Brief Description of the Drawings

Figure 1. Apoptosis in liver of rats fed bile acids. Animals were maintained for 10 days on standard rat chow supplemented with 0.4% of either DCA, UDCA, a combination of the two bile acids (DCA + UDCA), or no additional bile acid (control). On day 10, the livers were removed, rinsed in normal saline, flash-frozen in liquid nitrogen, and stored at -70°C. Liver tissue cryosections were prepared and then fixed and assayed for digoxigenin-labeled genomic DNA. (Figure 1A) TUNEL-positive hepatocytes (brown stain) in rats fed no bile acid (a); DCA (b); UDCA (c); and DCA + UDCA (d). (Figure 1B) Percent of TUNEL-positive hepatocytes. Values are means \pm standard deviations (S.D.) of at least three liver tissue cryosections from each animal group. Only DCA feeding was associated with a significant increase ($P < 0.001$) in TUNEL-positive cells.

Figure 2. Bile acid-induced apoptosis in primary rat hepatocytes and HuH-7 cells. (Figure 1A) Hepatocytes were incubated with 50 μ M of either DCA, UDCA, DCA + UDCA, or no bile acid addition (control) in William's E medium supplemented with 10% FBS and fixed for morphological analysis. Cells were fixed and stained with 5 μ g/ml Hoechst 33258 to detect nuclear fragmentation and condensed chromatin. The percent apoptosis was determined after treatment with bile acids for 2 h, 4 h, and 6 h. (Figure 2B) HuH-7 cells

were grown with varying doses of DCA for 6 h in Dulbecco's MEM medium supplemented with 10% FBS . The percent apoptosis after incubation with increasing doses of DCA was determined by fluorescence microscopy of Hoechst-stained nuclei. The results are means \pm S.D. from at least four different experiments. $^{\dagger}P < 0.05$; $^*P < 0.001$ from controls.

Figure 3. Alcohol-induced apoptosis in primary rat hepatocytes. Cells were grown with either 0.5% ethanol (ETOH), 50 μ M UDCA, a combination of the two, or no bile acid (control) in William's E medium supplemented with 10% FBS . Cells were fixed and stained with Hoechst 33258 to detect nuclear fragmentation and condensed chromatin. The percent apoptosis after treatment with either ETOH, UDCA, the combination, or no addition was determined at 2 h and 4 h. The results are representative of at least four different experiments. $^*P < 0.001$ from controls.

Figure 4. UDCA inhibits apoptosis in HuH-7 cells incubated with TGF- β 1 and in HepG2 cells treated with anti-Fas antibody. HuH-7 cells were grown with either 1 nM TGF- β 1, 100 μ M UDCA, a combination of the two, or no addition (control) in Dulbecco's MEM medium supplemented with 10% FBS. (Figure 4A) Apoptotic changes determined with Hoechst staining in cells treated for 72 h with TGF- β 1 (a) and TGF- β 1 + UDCA (b). Percent apoptosis (*lower panel*) in cells treated with either 1 nM TGF- β 1, 100 μ M UDCA, the combination, or no addition (control) after 24 h, 48 h, and 72 h of incubation. Apoptotic cells were identified by morphological changes associated with condensed chromatin, fragmentation and apoptotic bodies. (Figure 4B) Hep G2 cells were incubated with 0.5 μ g/ml of either anti-Fas antibody (CH-11), UDCA, a combination of CH-11 + UDCA, or no addition (control) in Dulbecco's MEM medium supplemented with 10% FBS. Cells were then fixed and characterized for apoptotic changes. The percent apoptosis in cells treated with CH-11, UDCA, or the combination was determined after 48 h of incubation. The results are means \pm S.D. from a minimum of four different experiments. $^{\dagger}P < 0.05$; $^*P < 0.001$ from controls; $^{\dagger}P < 0.05$ from TGF- β 1 alone. No significant changes were observed between control, UDCA, and anti-Fas antibody plus UDCA.

Figure 5. Inhibition of okadaic acid-induced apoptosis in HuH-7 and Saos-2 cells by UDCA. Cells were incubated with either 50 nM okadaic acid (OA), UDCA, a combination of okadaic acid and UDCA, or no addition (control) and evaluated for apoptosis. Fluorescence microscopy of Hoechst staining 48 h after incubation of HuH-7 cells (Figure 5A, *top*) with okadaic acid (a) and with okadaic acid + UDCA (b). Incubation with okadaic acid was associated with a significant increase in apoptosis in both HuH-7 and Saos-2 cells (Figures 5A and 5B, *lower panels*; $P < 0.001$). A significant decrease ($P < 0.001$) in apoptosis was observed when the cells were treated with okadaic acid + UDCA, but the reduced level of apoptosis was still greater than that observed in the untreated or UDCA-treated cells ($P < 0.05$). The results are means \pm S.D. from three to five different experiments. * $P < 0.001$ from all others.

Figure 6. Reduction of mitochondrial transmembrane potential (abbreviated $\Delta\Psi_m$) and increased production of ROS during apoptosis. Coadministration of UDCA with each of the apoptosis-inducing agents was associated with a significant inhibition of apoptotic changes in all cell types. Hepatocytes were treated with 100 μ M DCA and 1% ETOH for 6 h and 4 h, respectively; HuH-7 cells with 1 nM TGF- β 1 for 48 h; Hep G2 cells with 0.5 μ g/ml anti-Fas antibody (CH-11) for 48 h; and Saos-2 cells with 50 nM okadaic acid (OA) for 48 h. In all the combination groups, cells were pretreated with 100 μ M UDCA alone for 60 min prior to addition of the inducer. Aliquots of 1.0×10^6 cells were incubated for 15 min at 37°C with 50 nM 3,3'-dihexyloxacarbocyanine iodide [DiOC₆(3)], or 2 μ M dihydroethidium (HE) and analyzed by cytofluorometry. The percentages of representative plots reflect the reduction in $\Delta\Psi_m$ [DiOC₆(3)] (Figure 6A) and the increased production of ROS (HE \rightarrow ethidium) (Figure 6B) during apoptosis, and the respective inhibition by UDCA. The mean \pm S.D. of four to five different experiments is indicated at the upper right of each plot.

Figure 7. Mitochondrial membrane permeability transition (abbreviated herein as MPT) changes in isolated rat liver mitochondria incubated with bile acids. Mitochondria were isolated and incubated (1 mg protein/ml) with either DCA, UDCA, DCA + UDCA, or no bile acid (control) in respiration buffer.

(Figure 7A) Percent change in mitochondrial swelling was measured by monitoring the optical density at 540 nm. At time zero, 200 μ M DCA was added and swelling was monitored for an additional 5 min. In the coincubation experiments, mitochondria were preincubated with 500 μ M UDCA for 5 min.

- 5 (Figure 7B) Percent change in calcein release from calcein-loaded mitochondria was measured by monitoring the fluorescence using excitation and emission wavelengths of 490 and 515 nm, respectively. At time zero, 200 μ M DCA was added and fluorescence was monitored for an additional 20 min. In the coincubation experiments, mitochondria were pretreated with 500 μ M UDCA
10 for 10 min. Values are mean \pm standard deviations (S.D.) of at least five different experiments. * p < 0.001 from controls; $^{\circ}$ p < 0.001 from DCA.

- Figure 8. Dose-response of isolated mitochondria to bile acid-induced MPT. Mitochondria were isolated and incubated (1 mg protein/ml) with either DCA, DCA + UDCA, PhAsO, PhAsO + UDCA, HDCA, or DCA + HDCA in
15 respiration buffer. Percent change in MPT was measured by monitoring mitochondrial swelling. (Figure 8A) Dose-response to DCA. At time zero, 50-200 μ M DCA or 80 μ M PhAsO was added and mitochondrial swelling was monitored for an additional 5 min. In the coincubation experiments, mitochondria were preincubated with 500 μ M UDCA for 5 min. (Figure 8B)
20 Dose-response to UDCA. At time zero, 200 μ M DCA was added and mitochondrial swelling was monitored for an additional 5 min. In the coincubation experiments, mitochondria were pretreated with 100-500 μ M UDCA or 500 μ M HDCA for 5 min. Values are mean \pm standard deviations (S.D.) of at least five different experiments. $^{\circ}$ p < 0.05 from DCA; * p < 0.001
25 from DCA or PhAsO.

- Figure 9. Reduction of $\Delta\Psi_m$ and increased production of ROS after incubation of isolated mitochondria with DCA. Isolated mitochondria were incubated with 100 μ M DCA, 500 μ M UDCA, 100 μ M DCA + 500 μ M UDCA, or no bile acid addition (control) for 5 min. In the coincubation experiments,
30 mitochondria were pretreated with UDCA alone for 5 min prior to addition of DCA. Isolated mitochondria (1 mg protein/ml) were suspended in respiration buffer and incubated for 15 min at 37°C with 50 nM DiOC₆(3), 2 μ M HE, or 5

$\mu\text{M H}_2\text{DCFDA}$ and analyzed by cytofluorometry. The percentages reflect (Figure 9A) the disruption in $\Delta\Psi_m$; (Figure 9B) the increased production of superoxides; and (Figure 9C) the increased production of peroxides during treatment with DCA, and the respective inhibition by UDCA. The treatment groups are indicated on the left; the open peak in the control group panel C shows a positive control after incubation with 10 mM H_2O_2 . The data shown are representative of at least three different experiments. Coincubation with UDCA was associated with significant inhibition of mitochondrial perturbation ($p < 0.05$, or lower).

Figure 10. Reduction of $\Delta\Psi_m$ and increased production of ROS after incubation of isolated mitochondria with PhAsO. Isolated mitochondria were incubated with 80 μM PhAsO, 500 μM UDCA, 80 μM PhAsO + 500 μM UDCA, or no addition (control) for 5 min. In the coincubation experiments, mitochondria were pretreated with UDCA alone for 5 min prior to addition of PhAsO. Isolated mitochondria (1 mg protein/ml) were suspended in respiration buffer and incubated for 15 min at 37°C with 50 nM DiOC₆(3), 2 μM HE, or 5 μM H_2DCFDA and analyzed by cytofluorometry. The percentages reflect (Figure 10A) the disruption in $\Delta\Psi_m$; (Figure 10B) the increased production of superoxides; and (Figure 10C) the increased production of peroxides during treatment with PhAsO, and the respective inhibition by UDCA. The treatment groups are indicated on the left and the data shown are representative of at least three different experiments. Coincubation with UDCA was associated with significant inhibition of mitochondrial perturbation ($p < 0.05$, or lower).

Figure 11. HDCA does not significantly inhibit the DCA-induced reduction of $\Delta\Psi_m$ and increased production of ROS in isolated rat liver mitochondria. Isolated mitochondria were incubated with 100 μM DCA, 500 μM HDCA, 100 μM DCA + 500 μM HDCA, or no bile acid addition (control) for 5 min. In the coincubation experiments, mitochondria were pretreated with 500 μM HDCA alone for 5 min prior to addition of DCA. Isolated mitochondria (1 mg protein/ml) were suspended in respiration buffer and incubated for 15 min at 37°C with 50 nM DiOC₆(3), 2 μM HE, or 5 μM H_2DCFDA and analyzed by

cytofluorometry. The percentages reflect (Figure 11A) the disruption in $\Delta\Psi_m$; (Figure 11B) the increased production of superoxides; and (Figure 11C) the increased production of peroxides during treatment with DCA, and the absence of significant protection by HDCA. The data shown are representative of at least
5 three different experiments and the treatment groups are indicated at left.

Figure 12. Western blot analysis of apoptosis-associated proteins in liver from bile acid fed rats. Cytoplasmic proteins (150 μ g/lane) from control, DCA, UDCA, and DCA + UDCA fed rats were isolated from whole liver. Following SDS-PAGE and transfer, the nitrocellulose membranes were incubated with
10 antibodies to either Bax, Bad, Bcl-2 or Bcl-X_L and the proteins were detected using ECL chemiluminescence. Representative western blots of cytoplasmic proteins are shown at top and the accompanying histograms below depict the mean changes \pm standard error of the mean (S.E.M.) in protein levels relative to control. The proteins are indicated on the left and the values shown are from at
15 least three different animals from each group. $^{\circ}p < 0.001$ from Bad control; $p < 0.05$ from Bcl-X_L control.

Figure 13. Western blot analysis of apoptosis-associated proteins in mitochondria isolated from livers of bile acid fed rats. Mitochondrial proteins (150 μ g/lane) from control, DCA, UDCA, and DCA + UDCA fed rats were
20 isolated from whole liver. Following SDS-PAGE and transfer, the nitrocellulose membranes were incubated with antibodies to either Bax, Bad, Bcl-2 or Bcl-X_L and the proteins were detected using ECL chemiluminescence. Representative western blots of mitochondrial proteins are shown at top and the accompanying histograms depict the mean changes \pm S.E.M. in protein levels relative to
25 control. The proteins are indicated on the left and the values shown are from four different animals from each group. $^{\circ}p < 0.001$ from control; $^{\circ}p < 0.05$ from control.

Detailed Description of Preferred Embodiments

30 The present invention provides methods that involve the modulation of the apoptotic threshold in hepatocytes and nonliver cells from agents acting through different apoptotic pathways. Significantly, the methods of the present

invention limit the incidence of apoptosis in a cell population that is induced by deoxycholic acid (DCA), as well as ethanol, transforming growth factor (TGF)- β 1, the Fas ligand (i.e., anti-Fas antibody), okadaic acid, and unconjugated bilirubin, for example. Each of these agents may act in a totally different
5 mechanistic pathway, however, it has been discovered that hydrophilic bile acids such as ursodeoxycholic acid, salts thereof, and analogs thereof can effect (e.g., inhibit) their function with respect to apoptosis.

In certain embodiments, the methods of the present invention limit the incidence of apoptosis in a cell population that is induced by nonmembrane
10 damaging agents, such as transforming growth factor (TGF)- β 1, the Fas ligand (i.e., anti-Fas antibody), and okadaic acid, for example. These agents typically operate through signal transduction, whereas agents such as DCA and ethanol are believed to operate through damaging and/or infiltrating mitochondrial membranes, i.e., are considered membrane damaging agents also including
15 unconjugated bilirubin, conjugated bilirubin, and a combination thereof.

As used herein, the terms "limit" or "limiting" in the context of the incidence of apoptosis refer to, for example, preventing, reducing, suppressing, and/or inhibiting the occurrence of apoptosis, which can be associated with a variety of diseases. As used herein, the terms "cells" or "cell population" refer
20 to mammalian cells, particularly human cells. They can include, for example, isolated hepatocytes and hepatoma cells, as well as cells such as Saos-2 (a human sarcoma cell line), Cos-7 (a monkey kidney cell line), HeLa (a human cervical cancer cell line), and astrocytes (rat brain cells). The cells can be a human cell population or other mammalian cell population. The cells can be treated in a cell
25 *in vitro*, *in vivo*, and a combination thereof.

For example, a method in accordance with the present invention conferred significant protection against apoptosis induced by TGF- β 1 and okadaic acid in HuH-7 cells (human hepatoma cells), as well as HeLa and Cos-7 cells, whereas the hydrophilic bile acids ursodeoxycholic and taurocholic acids
30 did not. Additionally, a reduction in apoptosis by UDCA was found to be similar to its inhibition of mitochondrial membrane perturbation. While not wishing to be bound by any particular theory, it is believed that an apoptotic mechanism

common to these multiple inducing agents is specifically modulated by UDCA and its conjugated derivatives, and not simply by a detergent-sparing effect. Rather, it suggests that at least one mechanism by which UDCA is able to inhibit apoptosis is prevention of mitochondrial dysfunction.

- 5 The methods of the present invention involve contacting such cells with a hydrophilic bile acid, salts thereof, analogs thereof, or combinations thereof. As used herein, hydrophilic bile acids are those more hydrophilic than deoxycholic acid (DCA). This can be determined by evaluating the partition coefficient between water and octanol, with the more hydrophilic bile acids being more
10 favorable toward water. Alternatively, the more hydrophilic bile acids have earlier retention times on a reverse-phase column using high performance liquid chromatography. A particularly preferred hydrophilic bile acid includes ursodeoxycholic acid. Examples of analogs of hydrophilic bile acids include conjugated derivatives of bile acids. Two particularly preferred conjugated
15 derivatives include glyco- and tauro-ursodeoxycholic acid.

- Although all hydrophilic bile acids may not be useful in all methods of the present invention, they can be evaluated readily by a method similar to that mentioned above. In particular, primary hepatocytes can be incubated with TGF- β 1 or okadaic acid and a compound to be evaluated for antiapoptotic activity.
20 Effects can be evaluated by fluorescence microscopy of Hoechst-stained nuclei, as described herein. For example, hyodeoxycholic acid and taurocholic acid are hydrophilic bile acids, but they are not effective for all methods of the present invention. Furthermore, the glyco- and tauro- conjugates of deoxycholic acid are not effective for all methods of the present invention.

- 25 Such compounds are used in amounts effective to limit the incidence of apoptosis. Accordingly, they are referred to herein as "apoptosis limiting" or "apoptotic limiting" compounds. They can be used in the methods of the present invention in the form of a composition that also includes a pharmaceutically acceptable carrier, if so desired. Typically, for preferred
30 embodiments, the apoptosis limiting compounds described herein are formulated in pharmaceutical compositions and then, in accordance with methods of the invention, administered to a mammal, such as a human patient, in a variety of forms adapted to the chosen route of administration. The formulations include

those suitable for oral, rectal, vaginal, topical, nasal, ophthalmic or parental (including subcutaneous, intramuscular, intraperitoneal and intravenous) administration. Treatment can be prophylactic or, alternatively, can be initiated after known exposure to an offending agent. Accordingly, administration of the compounds can be performed before, during or after exposure or potential exposure to suspected or known apoptosis inducing agents.

The formulations may be conveniently presented in unit dosage form and may be prepared by any of the methods well known in the art of pharmacy. All methods include the step of bringing the active compound into association with a carrier which constitutes one or more accessory ingredients. In general, the formulations are prepared by uniformly and intimately bringing the active compound into association with a liquid carrier, a finely divided solid carrier, or both, and then, if necessary, shaping the product into a desired formulation.

Formulations of the present invention suitable for oral administration may be presented as discrete units such as tablets, troches, capsules, lozenges, wafers, or cachets, each containing a predetermined amount of the apoptosis limiting compound as a powder, in granular form, incorporated within liposomes, or as a solution or suspension in an aqueous liquid or non-aqueous liquid such as a syrup, an elixir, an emulsion, or a draught. Such compositions and preparations should contain at least about 500 mg/day to about 1000 mg/day, or, alternatively stated, about 10 mg/kg body weight to about 15 mg/kg body weight. The amount of apoptosis limiting compound in such therapeutically useful compositions is such that the dosage level will be effective to prevent, reduce, inhibit, or suppress the development of programmed cell death in the subject.

The tablets, troches, pills, capsules, and the like may also contain one or more of the following: a binder such as gum tragacanth, acacia, corn starch or gelatin; an excipient such as dicalcium phosphate; a disintegrating agent such as corn starch, potato starch, alginic acid and the like; a lubricant such as magnesium stearate; a sweetening agent such as sucrose, fructose, lactose or aspartame; and a natural or artificial flavoring agent. When the unit dosage form is a capsule, it may further contain a liquid carrier, such as a vegetable oil or a

polyethylene glycol. Various other materials may be present as coatings or to otherwise modify the physical form of the solid unit dosage form. For instance, tablets, pills, or capsules may be coated with gelatin, wax, shellac, or sugar and the like. A syrup or elixir may contain one or more of a sweetening agent, a
5 preservative such as methyl- or propylparaben, an agent to retard crystallization of the sugar, an agent to increase the solubility of any other ingredient, such as a polyhydric alcohol, for example glycerol or sorbitol, a dye, and flavoring agent. The material used in preparing any unit dosage form is substantially nontoxic in the amounts employed. The apoptosis limiting compound may be incorporated
10 into sustained-release preparations and devices.

The apoptosis limiting compounds of the invention can be incorporated directly into the food of the mammal's diet, as an additive, supplement, or the like. Thus, the invention further provides a food product containing an apoptosis limiting compound of the invention. Any food is suitable for this purpose,
15 although processed foods already in use as sources of nutritional supplementation or fortification, such as breads, cereals, milk, and the like, may be more convenient to use for this purpose.

Formulations suitable for parenteral administration conveniently comprise a sterile aqueous preparation of the apoptosis limiting compound, or
20 dispersions of sterile powders comprising the apoptosis limiting compound, which are preferably isotonic with the blood of the recipient. Isotonic agents that can be included in the liquid preparation include sugars, buffers, and salts such as sodium chloride. Solutions of the apoptosis limiting compound can be prepared in water, optionally mixed with a nontoxic surfactant. Dispersions of
25 the apoptosis limiting compound can be prepared in water, ethanol, a polyol (such as glycerol, propylene glycol, liquid polyethylene glycols, and the like), vegetable oils, glycerol esters, and mixtures thereof. The ultimate dosage form is sterile, fluid, and stable under the conditions of manufacture and storage. The necessary fluidity can be achieved, for example, by using liposomes, by
30 employing the appropriate particle size in the case of dispersions, or by using surfactants. Sterilization of a liquid preparation can be achieved by any convenient method that preserves the bioactivity of the apoptosis limiting compound, preferably by filter sterilization. Preferred methods for preparing

powders include vacuum drying and freeze drying of the sterile injectible solutions. Subsequent microbial contamination can be prevented using various antimicrobial agents, for example, antibacterial, antiviral and antifungal agents including parabens, chlorobutanol, phenol, sorbic acid, thimerosal, and the like.

- 5 Absorption of the apoptosis limiting compounds over a prolonged period can be achieved by including agents for delaying, for example, aluminum monostearate and gelatin.

Nasal spray formulations comprise purified aqueous solutions of the apoptosis limiting compound with preservative agents and isotonic agents. Such
10 formulations are preferably adjusted to a pH and isotonic state compatible with the nasal mucous membranes. Ophthalmic formulations are prepared by a similar method to the nasal spray, except that the pH and isotonic factors are preferably adjusted to match that of the eye. Formulations for rectal or vaginal administration may be presented as a suppository with a suitable carrier such as
15 cocoa butter, or hydrogenated fats or hydrogenated fatty carboxylic acids.

Topical formulations comprise the apoptosis limiting compound dissolved or suspended in one or more media such as mineral oil, petroleum, polyhydroxy alcohols or other bases used for topical pharmaceutical formulations. Examples of such formulations include cosmetic lotion, crème, or
20 sunscreen for use on the skin.

In addition to the aforementioned ingredients, the formulations of this invention may further include one or more accessory ingredients including diluents, buffers, binders, disintegrants, surface active agents, thickeners, lubricants, preservatives (including antioxidants) and the like.

- 25 Useful dosages of the apoptosis limiting compounds described herein can be determined by comparing their *in vitro* activity and the *in vivo* activity in animals models. Methods for extrapolation of effective dosages in mice, and other animals, to humans are known in the art.

Generally, for adult humans, single dosages for injection, infusion, or
30 ingestion will generally vary from about 500 mg to about 1000 mg (i.e., a dosage of about 10 mg to about 15 mg per kg of body weight per day). It may be

administered, for example, about 1 to about 3 times per day, to yield levels of about 10 to about 15 μmol per liter of serum.

In the following examples, DNA fragmentation and morphologic changes of apoptosis were determined by TUNEL assay and by nuclear staining,
5 respectively. DCA treatment *in vivo* and in isolated hepatocytes resulted in about a 40-fold increase in apoptosis ($P < 0.001$). Apoptosis in isolated rat hepatocytes increased 12-fold after incubation with 0.5% ethanol ($P < 0.001$). HuH-7 cells underwent significant apoptosis with 1 nM TGF- β 1 ($P < 0.001$) or DCA at 100 μM ($P < 0.001$). Hep G2 cells exhibited significant apoptosis after
10 incubation with anti-Fas antibody ($P < 0.001$). Finally, incubation with okadaic acid induced >30% apoptosis in both HuH-7 and Saos-2 cells. Coadministration of UDCA with each of the apoptosis-inducing agents was associated with a 50-100% inhibition of apoptotic changes ($P < 0.001$) in all the cell types. UDCA fed rats exhibited significant hepatic changes in expression of the apoptosis-
15 related proteins for Bad, Bax and Bcl-X_L. UDCA was >20-fold more concentrated in the nuclei of livers from control and DCA fed rats than cytoplasmic levels ($P < 0.001$), and comprised 91.4% of the total nuclear bile acid (BA) concentration with UDCA feeding. The results suggest that UDCA plays a central role in regulating the apoptotic threshold in both hepatocytes and
20 nonliver cells, and may do so, in part, by modulating the expression of certain apoptosis-related genes.

Neurons may also die from apoptosis, particularly in oxygen-deprived brains. When brain ischemia was induced in laboratory animals by temporarily cutting the blood flow to the brain, several features of apoptosis were found in
25 dying neurons. Preliminary results in a rat model indicate an improvement in mitochondria viability following a stroke injury in rats treated with tauroursodeoxycholic acid (TUDC). As compared to control animals, pretreatment with TUDC decreased the area of stroke damage by up to about 50%. These results indicate that ursodeoxycholic acid and its conjugated
30 derivatives may provide benefit in rescuing injured cells following stroke injury.

Further, nerve cell injury from unconjugated bilirubin (UCB) may play a role in brain damage during neonatal hyperbilirubinemia. UCB treatment of

astrocytes demonstrated a concentration and time dependent decrease in cell viability. For example, after 4 hours of incubation, apoptosis was increased about 6- and about 11- fold over control values in the presence of 17 μ M and 85.5 μ M UCB, respectively. The percentage of apoptotic cells increased up to
5 about 48% after incubation of astrocytes in 85.5 μ M UCB for 22 hours. Coincubation with UDCA led to a decrease of over about 50% inhibition of apoptosis.

Advantages of the invention are illustrated by the following examples. However, the particular materials and amounts thereof recited in these examples,
10 as well as other conditions and details, are to be interpreted to apply broadly in the art and should not be construed to unduly limit the invention.

Examples

15 Example I

A Novel Role for Ursodeoxycholic Acid in Inhibiting Apoptosis by Modulating Mitochondrial Membrane Perturbation

A. Materials and Methods

20 *Animals and diets.* Male 160-175 gram (g) Sprague-Dawley rats (Sprague-Dawley, Indianapolis, IN) were maintained on a 12-hour (h) light-dark cycle and fed standard laboratory chow *ad libitum* for 3 days. The animals were then transferred to metabolic cages and fed diets of standard laboratory chow supplemented with either no bile acid or 0.4% (wt/wt) DCA, UDCA, or a
25 combination of DCA + UDCA (Bio-Serv, Frenchtown, NJ). On day 10, the animals were sacrificed by exsanguination under ether anesthesia between 9 a.m. and 11 a.m. The livers were removed, rinsed in normal saline, and flash-frozen in liquid nitrogen. Liver tissue samples were embedded in OCT, and 5 μ m-thick cryostat sections were cut and mounted on slides. At least three cryosections
30 from three different animals in each group were fixed in 10% formalin in PBS, pH 7.4 for 10 minutes (min) at room temperature, washed with PBS, pH 7.4, and then incubated in ice-cold ethanol:acetic acid (2:1) at -20°C for a minimum of 5

min. All animals received human care in compliance with the Guide for the Care and use of Laboratory Animals, prepared by the National Academy of Sciences (NIH Publication No. 86-23, revised 1985).

Terminal transferase-mediated dUTP-digoxigenin nick end labeling

5 (TUNEL) assay. Digoxigenin-nucleotide residues were added to 3'-OH ends of double or single-stranded DNA by terminal deoxynucleotidyl transferase. Reactions were performed according to the manufacturer's recommendations (Oncor, Inc., Gaithersburg, MD), and the specimens were then coverslipped with Permunt medium (Fischer Scientific, Inc., Itasca, IL) prior to analysis by phase-contrast microscopy using a Nikon microscope (Nikon, Inc., Melville, NY).
10 Photographs were taken using Kodak Ektar-1000 film (Eastman Kodak Co., Rochester, NY).

Cell culture and preparation of rat primary hepatocytes. Rat primary hepatocytes were isolated from male Sprague-Dawley rats (200-250 g) by
15 collagenase perfusion as described previously (Mariash et al., J. Biol. Chem., 261, 9583-9586 (1986)). Briefly, rats were anesthetized with phenobarbital and the livers were perfused with 0.05% collagenase. Hepatocyte suspensions were obtained by passing digested livers through 0.125 mm gauze and washing cells in modified Eagles' medium (MEM, Life Technologies, Inc., Grand Island, NY).
20 Cell viability was determined by trypan blue exclusion and was typically 85 to 90%. After isolation, hepatocytes were resuspended in William's E medium (Life Technologies, Inc., Grand Island, NY) supplemented with 26 mM sodium bicarbonate, 23 mM HEPES, 0.01 U/ml insulin, 2 mM L-glutamine, 10 nM dexamethasone, 5.5 mM glucose, 100 U/ml penicillin and 100 U/ml
25 streptomycin and then 1.0×10^6 cells were plated on 35 x 10 mm PRIMARIA tissue culture dishes (Becton Dickinson Labware, Lincoln Park, NJ). The cells were maintained at 37°C in a humidified atmosphere of 5% CO₂ for 3 h. Plates were then washed with medium to remove dead cells, and medium containing 10% heat-inactivated FBS (55°C for 30 min) was added (Atlanta Biologicals,
30 Inc., Norcross, GA). Aliquots of 1.0×10^5 human (HuH-7) hepatoma cells were plated on 35 x 10 mm tissue culture dishes (Becton Dickinson Labware) and maintained at 37°C in Dulbecco's MEM (Atlanta Biologicals, Inc.) supplemented

with 10% FBS, 100 U/ml penicillin and 100 u/ml streptomycin for 3 h prior to incubation with bile acids.

Incubation of cells with bile acids. Freshly isolated rat hepatocytes were cultured for 3 h as described above and then incubated with William's E medium supplemented with either 50 μ M DCA, 50 μ M UDCA (Sigma Chemical Co., St. Louis, MO), their combination, or no bile acid (control), for 2 h, 4 h, and 6 h. HuH-7 cells cultured for 3 h as described above were incubated with Dulbecco's MEM medium supplemented with either 50 μ M, 100 μ M, 500 μ M, or 1000 μ M DCA, UDCA, DCA + UDCA, or no addition (control) for 6 h and 24 h. The medium was gently removed at the indicated time points and scored for nonviable cells by trypan blue dye exclusion. The attached cells were fixed for morphologic assessment of apoptotic changes.

In parallel experiments, isolated rat hepatocytes (2×10^7 cells) and HuH-7 cells (2×10^6 cells) were incubated with 50 μ M or 100 μ M, respectively, of DCA, UDCA or DCA + UDCA for 6 h. Cells were washed 3 times with PBS, pH 7.4, harvested, centrifuged at 800 x g for 5 min in a JS-4.0 Beckman rotor (Beckman Instruments, Inc., Schaumburg, IL) at 4°C, washed again, and the final pellet was flash-frozen in liquid nitrogen. Cells were then analyzed for intracellular bile acid concentrations by gas chromatography.

Bile acid quantification by gas chromatography. Individual bile acids were measured in primary rat hepatocytes by gas chromatography after liquid solid extraction, hydrolysis, isolation by lipophilic anion exchange chromatography and conversion to methyl ester-trimethylsilyl ether derivatives as described previously (Kren et al., Am. J. Physiol., 269, G961-G973 (1995)). Identification of intracellular bile acids was made on the basis of gas chromatography retention index relative to a homologous series of *n*-alkanes (Lawson et al., The Bile Acids, K.D.R. Setchell et al. (eds.), Vol. 4, Plenum Press, New York, 167-267 (1988)). Quantification of bile acids was achieved using gas chromatography, by comparing the peak height response of the individual bile acids with the peak height response obtained from the internal standard, nordeoxycholic acid, which was added to each sample prior to bile acid extraction.

Incubation of cells with ethanol, TGF- β 1, anti-Fas antibody or okadaic acid. Freshly isolated rat hepatocytes were cultured for 3 h as described above and then incubated with William's E medium supplemented with either 0.5% ethanol, 50 μ M UDCA, ethanol plus UDCA, or no addition (control) for 2 h and
5 4 h. HuH-7 cells were incubated with Dulbecco's medium supplemented with either 1 nM TGF- β 1 (R & D Systems, Minneapolis, MN), 100 μ M UDCA, TGF- β 1 + UDCA, or no addition (control) for 24 h, 48 h, and 72 h. Hep G2 cells were incubated with Dulbecco's medium supplemented with either 0.5 μ g/ml of anti-Fas antibody CH-11 (Upstate Biotechnology, Inc., Lake Placid, NY), 100 μ M
10 UDCA, CH-11 + UDCA, or no addition for 48 h. Both HuH-7 cells and human osteogenic sarcoma Saos-2 cells were cultured in Dulbecco's medium supplemented with either 50 nM okadaic acid (Boehringer Mannheim Biochemicals, Inc, Indianapolis, IN), 100 μ M UDCA, okadaic acid + UDCA, or no addition for 48 h. In all the combination groups, cells were pretreated with
15 UDCA alone for 60 min prior to addition of ethanol, TGF- β 1, anti-Fas antibody or okadaic acid.

HuH-7 cells were treated with 1 nM TGF- β 1, 100 μ M of either hyodeoxycholic acid, taurocholic acid, tauroursodeoxycholic acid (Sigma Chemical Co.) or glyoursodeoxycholic acid (Steraloids Inc., Wilton, NH), or a
20 combination of TGF- β 1 plus the individual bile acids for 72 h. HeLa and Cos-7 cells were incubated with 50 nM okadaic acid, 100 μ M of either tauroursodeoxycholic acid or glyoursodeoxycholic acid, or a combination of okadaic acid plus the individual bile acids for 24 h. In the combination groups, cells were pretreated with the bile acid alone for 60 min prior to incubation with
25 TGF- β 1 or okadaic acid. In all studies, the medium was gently removed at the indicated times and scored for nonviable cells. The attached cells were fixed for morphologic evaluation of apoptosis.

Morphological evaluation of apoptosis. Morphology was performed as described previously (Oberhammer et al., Proc. Natl. Acad. Sci. USA, **89**, 5408-
30 5412 (1992)). Briefly, after fixation (with 4% formaldehyde in PBS, pH 7.4, for 10 min at room temperature), the cells were incubated with Hoechst dye 33258 (Sigma Chemical Co.) at 5 μ g/ml in PBS for 5 min, washed with PBS and

mounted with PBS:glycerol (3:1, v/v). Fluorescence was visualized with a Zeiss standard fluorescence microscope (Carl Zeiss, Inc., Thornwood, NY).

Photographs were taken with Kodak Ektar-1000 film (Eastman Kodak Co.).

Stained nuclei were scored by blind analysis and categorized according to the

5 condensation and staining characteristics of chromatin. Normal nuclei were identified as noncondensed chromatin dispersed over the entire nucleus.

Apoptotic nuclei were identified by condensed chromatin, contiguous to the nuclear membrane, as well as nuclear fragmentation of condensed chromatin.

Three fields per dish of approximately 500 nuclei were counted; mean values are
10 expressed as the percent of apoptotic nuclei.

Annexin V-Biotin assay. The annexin V-biotin apoptosis assay was performed on HuH-7 cells according to the manufacturer's recommendations (R & D Systems). In short, annexin V-biotin was added to HuH-7 cells at 2×10^4 cells/ml on a 96-well, flat bottom, MICROTEST III tissue culture plate (Becton
15 Dickinson Labware) after incubation with either 100 μ M DCA, UDCA, their combination, or no bile acid addition (control) for 6 h. The chromogenic signal generated from the binding of annexin V to exposed phosphatidylserine moieties was read at 450 nm using a microplate reader (Molecular Devices, Co., Menlo Park, CA).

20 *Isolation of mitochondria and MPT assays.* Low calcium liver mitochondria were isolated from male 200-250 g Sprague-Dawley rats by density gradient centrifugation as previously published (Botla et al., J. Pharmacol. Exp. Ther., 272, 930-938 (1995); Walajtys-Rhode et al., J. Biol. Chem., 267, 370-379 (1992); and Sokol et al., Gastroenterology, 99, 1061-1071 (1990)). The
25 mitochondrial fraction was resuspended in 30 ml of wash buffer containing 0.1 M KCl, 5 mM 3-(N-morpholino)-propane sulfonic acid (MOPS), and 1 mM EGTA, at pH 7.4 and centrifuged at 7,000 x g for 10 min at 4°C. A final wash was carried out in chelex-100-treated buffer (Bio-Rad Laboratories, Hercules, CA) containing no EGTA. The resulting pellet was suspended in 4 ml of chelex-
30 100-treated buffer containing 125 mM sucrose, 5 mM HEPES, 50 mM KCl and 2 mM KH_2PO_4 . The usual yield of mitochondria was approximately 25 mg of protein per gram of liver tissue. Mitochondrial purity was established as

previously described (Botla et al., J. Pharmacol. Exp. Ther., 272, 930-938 (1995)). Protein concentrations were determined using the Bio-Rad protein assay kit as specified by the manufacturer.

MPT was measured spectrophotometrically as described previously (Botla et al., J. Pharmacol. Exp. Ther., 272, 930-938 (1995); Pastorino et al., J. Biol. Chem., 268, 13791-13798 (1993)), during 10 min incubations at 25°C using mitochondria (1 mg of protein/ml) suspended in 3 ml of a chelex-100-treated buffer containing 0.1 M NaCl, 10 mM MOPS, pH 7.4. Swelling was monitored at 540 nm in a Beckman DU 64 spectrophotometer. Basal values of mitochondria absorbance were measured for 5 min, and the optical density was monitored another 5 min after addition of 200 μ M DCA or 80 μ M phenylarsine oxide (PhAsO; Sigma Chemical Co.). For coincubation studies, mitochondria were preincubated with 500 μ M UDCA or hyodeoxycholic acid for 5 min at 25°C prior to the assay. Inhibition of DCA-induced MPT by cyclosporine A (Sigma Chemical Co.) was measured as described previously (Botla et al., J. Pharmacol. Exp. Ther., 272, 930-938 (1995)).

$\Delta\Psi_m$ and ROS measurement. $\Delta\Psi_m$ and ROS production were measured by FACScan (Becton Dickinson) analysis. Freshly isolated rat hepatocytes were cultured for 3 h as described above and then incubated with William's E medium supplemented with either 100 μ M DCA, 100 μ M UDCA, equal molar amounts of both, or no bile acid (control), for 6 h. Rat hepatocytes were also cultured with either 1% ethanol, 100 μ M UDCA, ethanol + UDCA, or no addition (control) for 4 h. HuH-7 cells, Hep G2 cells, and human osteogenic sarcoma Saos-2 cells were incubated with TGF- β 1, anti-Fas antibody or okadaic acid, respectively, for 48 h under the same conditions as outlined above. For combination studies, cells were pretreated with UDCA or hyodeoxycholic acid for 60 min prior to addition of DCA, ethanol, TGF- β 1, anti-Fas antibody or okadaic acid. Aliquots of 1.0×10^6 cells were incubated for 15 min at 37°C with 50 nM 3,3'-dihexyloxacarbocyanine iodide [DiOC₆(3)], 2 μ M dihydroethidium (HE), or 5 μ M 2',7'-dichlorofluorescein diacetate (H₂DCFDA; Molecular Probes, Inc. Eugene, OR) and analyzed by cytofluorometry (Cathcart et al., Anal.

Biochem., 134, 111-116 (1983); Zamzami et al., J. Exp. Med., 181, 1661-1672 (1995); Carter et al., J. Leukocyte Biol., 55, 253-258 (1994)).

Statistical analysis. Statistical analysis was performed using InStat version 2.1 for the unpaired Student t tests, ANOVA and Bonferroni's multiple comparison tests.

B. Results

UDCA feeding protects from DCA-induced apoptosis in vivo. We have previously shown that dietary manipulation with DCA and UDCA resulted in marked alterations in composition of the bile acid pool (Kren et al., Am. J. Physiol., 269, G961-G973 (1995)). DCA feeding at the 0.4% level led to an approximately 10-fold hepatic enrichment in this bile acid relative to control animals. Similarly, when UDCA was supplemented and fed to the animals, it became the predominant bile acid in the liver. We investigated whether apoptosis is involved in the process of bile acid-induced injury to the liver. Cryosections of liver tissue from rats fed bile acids were assayed for the characteristic fragmented DNA of apoptosis using digoxigenin-labeling (Fig. 1A). After feeding DCA to rats, TUNEL assays revealed 11% of the liver cells exhibited positive nuclear staining for fragmented DNA, a 40-fold increase from control values ($P < 0.001$). Conversely, only a 2-fold increase was detected in the liver tissue of rats fed UDCA. When the two bile acids were combined in the diet, UDCA completely inhibited cell death by apoptosis associated with the hydrophobic bile acid alone. In fact, the number of apoptotic cells was slightly lower than in control animals (Fig. 1B).

Determination of bile acid concentrations in primary rat hepatocytes and HuH-7 cells. Bile acid levels were measured by gas chromatography in primary rat hepatocytes incubated for 6 h with 50 μ M of either DCA, UDCA, or their combination. Changes in intracellular bile acid composition paralleled those for liver tissue in rats fed a diet supplemented with the same bile acids (Kren et al., Am. J. Physiol., 269, G961-G973 (1995)). Specifically, there was a marked intracellular increase in DCA from 3.0 ± 0.9 nmol to 49.5 ± 9.9 nmol/ 10^6 cells

incubated with DCA alone ($P < 0.001$). Similarly, UDCA was detected in low concentration in control hepatocytes (2.3 ± 1.7 nmol/ 10^8 cells) but was the major intracellular bile acid during UDCA treatment (306.0 ± 135.1 nmol, $P < 0.01$). Cholic acid, which normally accounted for more than 70% of the bile acids in primary rat hepatocytes, was slightly higher after DCA treatment (28.8 ± 8.8 nmol vs. 17.3 ± 5.0 nmol/ 10^8 cells) and lower after UDCA incubation (11.9 ± 2.4 nmol). Combining the two bile acids led to a considerable increase in the intracellular concentration of DCA (380.3 ± 32.0 nmol/ 10^8 cells, $P < 0.001$) and no significant change in UDCA (263.8 ± 39.3 nmol) when compared with incubating primary rat hepatocytes with the individual compounds. A concomitant increase occurred in the intracellular concentration of cholic acid (165.1 ± 37.5 nmol/ 10^8 cells, $P < 0.001$).

Bile acid concentrations were also measured by gas chromatography in HuH-7 cells that were incubated with 100 μ M of either DCA, UDCA, or a combination for 6 h. With DCA or UDCA incubation, each became the predominant species and increased from control levels of 2.5 ± 1.0 to 28.8 ± 13.3 nmol ($P < 0.001$) and 1.2 ± 0.6 nmol to 204.7 ± 97.2 nmol/ 10^8 cells ($P < 0.001$), respectively. Cholic acid, however, decreased from 13.5 ± 1.0 nmol to 4.8 ± 0.2 nmol and 2.6 ± 0.2 nmol/ 10^8 cells, respectively ($P < 0.001$). Coincubation with both bile acids led to a pronounced decrease in the intracellular concentration of UDCA (45.5 ± 21.5 nmol, $P < 0.001$) even though the DCA concentration did not change significantly (31.7 ± 15.0 nmol) from DCA alone.

UDCA inhibits DCA-induced apoptosis in vitro. Cell culture studies confirmed that the apoptotic changes observed *in vivo* after DCA feeding also occurred in cultured primary rat hepatocytes after incubation with DCA. Apoptosis was assessed by changes in nuclear morphology revealed by Hoechst staining and was characterized by condensation of chromatin and nuclear fragmentation with formation of apoptotic bodies. Significant changes were detected in the number of apoptotic cells when hepatocytes were treated with 50 μ M DCA and a maximum apoptotic response was exhibited at 6 h (Fig. 2A). The percentage of apoptotic cells increased from 8-fold over control after 2 h incubation to greater than 40-fold after 6 hours. Incubation with UDCA alone

produced no significant changes in nuclear morphology compared to controls. In addition, UDCA protected against DCA-induced apoptosis and increased cell viability to $88.5 \pm 4.9\%$ at 6 h ($P < 0.001$).

DCA-induced apoptosis in HuH-7 hepatoma cells. HuH-7 cells are a well-differentiated human hepatoma cell line which exhibit characteristic apoptotic changes to TGF- β 1 (Fan et al., Oncogene, 12, 1909-1919 (1996)). To establish a dose response of apoptosis with DCA, HuH-7 cells were exposed for 6 h to various concentrations of bile acids. Cells incubated with 50 μ M DCA retained their characteristic nuclear morphology; but incubation with 100 μ M of DCA or greater resulted in apoptotic changes (Fig. 2B). Comparatively, cells treated with the same concentration of UDCA exhibited normal morphology and increased abundance. When no bile acid was added to the incubation medium, approximately 6% of cells exhibited apoptotic nuclei by fluorescence microscopy. With increasing concentrations of DCA, the percent of apoptotic cells increased from 16.8% at 100 μ M to 66.7% at 1000 μ M. Interestingly, no apparent necrosis of HuH-7 cells was observed at these elevated bile acid concentrations, perhaps reflecting the lower intracellular concentrations of bile acids compared to the isolated hepatocytes. No significant difference from control was observed when cells were incubated with similar concentrations of UDCA alone. Furthermore, UDCA significantly inhibited the apoptosis induced by DCA. At 24 h, $20 \pm 2.8\%$ of the 100 μ M DCA-treated cells were apoptotic while only $11.5 \pm 2.1\%$ of the combination treated cells exhibited apoptosis. In addition, cell viability was increased $44 \pm 7.1\%$ by coincubation with UDCA.

DCA induces phosphatidylserine externalization in the cell membrane of HuH-7 cells. Phosphatidylserine is predominantly located in the inner leaflet of the plasma membrane of normal cells. With apoptosis, however, phosphatidylserine is rapidly translocated to the outer leaflet, in part, through a flippase mechanism. In fact, externalization of the fatty acid head groups occurs earlier in apoptosis than detectable nuclear changes. We, therefore, examined the effect of DCA on such an early event at the surface membrane of HuH-7 cells. The annexin V-biotin assays confirmed the results previously obtained by morphological evaluation of apoptosis and indicated that the hydrophobic bile

acid DCA induces phosphatidylserine externalization in HuH-7 cell plasma membrane. The optical density at 450 nm wavelength was 0.27 ± 0.06 ($P < 0.001$), 0.08 ± 0.02 and 0.09 ± 0.04 for DCA, UDCA, and DCA + UDCA treated cells relative to controls.

- 5 *UDCA inhibits alcohol-, TGF- β 1-, anti-Fas antibody- and okadaic acid-induced apoptosis.* Primary rat hepatocytes incubated with 0.5% ethanol exhibited a 10-fold increase in apoptosis over control values after 2 h ($P < 0.001$) and apoptosis continued to increase by 4 h (Fig. 3). Coincubation with UDCA protected against ethanol-induced apoptosis, reducing the apoptotic response and
10 increasing cell viability ($79.5 \pm 7.9\%$) to control values. In contrast, no inhibitory effect was detected when cells were coincubated with DCA.

We investigated the ability of UDCA to inhibit apoptosis induced by other nonmembrane damaging agents. In this regard, HuH-7 cells displayed a maximum apoptotic response to TGF- β 1 at 72 h in agreement with that reported
15 previously (Fan et al., *Oncogene*, 12, 1909-1919 (1996)). With prolonged exposure to TGF- β 1, cell nuclei progressed from two to three blebs with some chromatin condensation after 24 h, to increased chromatin condensation and three to four nuclear blebs after 48 h and even greater nuclear fragmentation by 72 h (Fig. 4A,a). Addition of UDCA to the incubation media significantly
20 decreased TGF- β 1 apoptosis by approximately 49%, 44%, and 45% at 24 h, 48 h, and 72 h, respectively (Fig. 4A, *lower panel*). Similar changes in cell viability determined by trypan blue exclusion were also observed with UDCA coincubation for 48 h and 72 h ($P < 0.001$). Moreover, addition of the tauro- and glyco-conjugated derivatives of ursodeoxycholic acid to the culture medium also
25 inhibited TGF- β 1-induced apoptosis in HuH-7 cells at 72 h by 45.8 ± 7.9 and $37.5 \pm 5.1\%$, respectively ($P < 0.001$). In contrast, neither hyodeoxycholic acid nor taurocholic acid showed inhibition of apoptosis (data not shown).

We then determined whether UDCA could inhibit apoptosis induced by the Fas ligand (Nagata et al., *Science*, 267, 1449-1456 (1995)). To do so, we
30 incubated Hep G2 cells with 0.5 μ g/ml of the CH-11 monoclonal anti-Fas antibody and examined the cells at 48 h (Fig. 4B). Approximately 10% of the cells exhibited apoptotic changes compared to a control value of 1.2% ($P <$

0.001). Interestingly, UDCA alone decreased the incidence of apoptosis slightly to 0.7%, while the concurrent treatment of the Hep G2 cells with UDCA and anti-Fas antibody resulted in no significant increase in apoptosis over control values.

5 We have shown that okadaic acid is a strong apoptotic stimulus in both HuH-7 and the human osteogenic sarcoma Saos-2 cells (G. Fan et al., *Oncogene*, 12:1909-1919 (1996)). We examined the ability of UDCA to inhibit apoptosis induced by 50 nM okadaic acid to determine whether the effect is observed in nonhepatocyte cells. Incubation with okadaic acid induced apoptosis in 30% to
10 40% of both cell types (Figs. 5A and 5B). Although incubation with UDCA and 50 nM okadaic acid did not completely inhibit the apoptotic response, it was reduced by > 80% ($P < 0.001$). The ability of UDCA to protect against okadaic acid-induced apoptosis was also assessed in cultured HeLa and Cos-7 cells. UDCA reduced the percent apoptosis from 50.0 ± 14.9 to $20.5 \pm 7.1\%$ and 21.4
15 ± 2.9 to $7.3 \pm 2.4\%$ in the HeLa and Cos-7 cells, respectively ($P < 0.001$). Similar protection against the okadaic acid-induced apoptosis in these cells was observed with both glyco- and tauro-conjugated UDCA (data not shown).

UDCA inhibits the MPT induced by DCA. The disruption of mitochondrial function marks the commitment to the apoptotic death process.
20 Thus, mitochondria were isolated from rat liver to determine whether DCA induces MPT. The isolated mitochondrial pellet was highly enriched in mitochondria with minimal contamination by lysosomes or microsomes, as assessed by marker enzyme analysis (data not shown). High amplitude mitochondrial swelling was detected with concentrations as low as 50 μ M DCA.
25 Furthermore, pretreatment of the mitochondria with 500 μ M UDCA inhibited the 200 μ M DCA-induced MPT by $43.1 \pm 1.6\%$ ($P < 0.001$). Similarly, cyclosporine A, an inhibitor of the megapore channel, reduced the 200 μ M DCA-induced mitochondrial swelling by $45.8 \pm 5.4\%$ ($P < 0.004$). UDCA alone produced no significant difference from control values. The specificity of
30 inhibition by UDCA was tested using the hydrophilic bile salt hyodeoxycholic acid. No significant mitochondrial swelling was induced by hyodeoxycholic acid nor did it have a protective effect on the DCA-induced MPT. The isolated

mitochondria were then incubated with PhAsO, a potent inducer of MPT, alone or in combination with UDCA. When mitochondria were treated with 500 μ M UDCA and then exposed to 80 μ M PhAsO, MPT was reduced by $49.6 \pm 9.8\%$ ($P < 0.001$). These data suggested that UDCA can function as a general inhibitor of MPT and its role in modulating the apoptotic threshold may be mediated by its protective effect on mitochondrial membrane perturbation.

Interestingly, ethanol did not induce mitochondria swelling nor did the other nonmembrane inducers of apoptosis even when high concentrations of these agents were added to isolated mitochondria.

UDCA inhibits disruption of $\Delta\Psi_m$ and production of ROS. The $\Delta\Psi_m$ was measured in the different cell types using the fluorochrome DiOC₆(3) and FACS analysis (Zamzami et al., J. Exp. Med., 181, 1661-1672 (1995)). $\Delta\Psi_m$ was significantly decreased after induction of apoptosis by TGF- β 1 > okadaic acid > anti-Fas antibody > ethanol > DCA (Fig. 6A). Under the same conditions, FACS analysis revealed the increased production of the ROS superoxide anion as measured by dihydroethidium oxidation to ethidium (Carter et al., J. Leukocyte Biol., 55, 253-258 (1994)). The change was particularly marked for DCA-, alcohol-, and okadaic acid-induced apoptosis but, interestingly, was less pronounced during TGF- β 1- and anti-Fas antibody-induced apoptosis (Fig. 6B). Production of other ROS, including hydrogen peroxide and hydroxyl radical was measured using FACS analysis and the fluorochrome H₂DCFDA (Cathcart et al., Anal. Biochem., 134, 111-116 (1983)). These reactive oxygen compounds were also significantly increased during apoptosis when compared to the ROS observed in untreated or UDCA-treated cells (Table 1, below). Both $\Delta\Psi_m$ disruption and ROS production were partially inhibited by coincubation with UDCA, but not with hydoxycholeic acid. In fact, coadministration of UDCA was associated with a 21-63% inhibition of $\Delta\Psi_m$ disruption ($P < 0.05$) and a 55-93% decrease in superoxide anion production ($P < 0.05$). Increase in other ROS was also inhibited by UDCA from 39-65% ($P < 0.05$). Interestingly, UDCA alone increased $\Delta\Psi_m$ and reduced ROS production compared to control values in all cell types with the exception of Hep G2 cells. Finally, the inhibition of

mitochondrial dysfunction by coincubation with UDCA was, in general, quite similar to its ability to inhibit apoptosis by the different agents (Table 2, below).

Table 1. FACS Analysis of Peroxides Production

5

AGENT (cell type)	Peroxides Production (%)		
	Inducer	Inducer + UDCA	% Inhibition
DCA (Hepatocytes)	12.8 ± 0.9	4.4 ± 1.5*	65.8 ± 12.4
ETOH (Hepatocytes)	17.9 ± 5.4	10.7 ± 3.6 [‡]	39.5 ± 12.6
TGF-β1 (HuH-7)	11.0 ± 2.9	5.8 ± 2.5 [‡]	47.3 ± 16.0
CH-11 (Hep G2)	13.4 ± 1.7	6.2 ± 1.3 [†]	52.2 ± 14.9
OA (Saos-2)	15.0 ± 1.1	5.4 ± 1.5*	64.6 ± 7.5

Rat primary hepatocytes were incubated with 100 μM DCA and 1% ETOH for 6 h and 4 h, respectively; HuH-7 cells with 1 nM TGF-β1 for 48 h; Hep G2 cells with 0.5 μg/ml anti-Fas antibody (CH-11) for 48 h, and Saos-2 cells with 50
 10 nM okadaic acid (OA) for 48 h. In each combination group, cells were pretreated with 100 μM UDCA alone for 60 min prior to addition of the inducer. Aliquots of 1.0×10^6 cells were incubated for 15 min at 37°C with 5 μM 2',7'-dichlorofluorescein diacetate (H₂DCFDA) and analyzed by cytofluorometry. The data reflect the increased production of peroxides during apoptosis, and the
 15 respective inhibition by UDCA. The results are representative of three to five different experiments. [‡]*P* < 0.05; [†]*P* < 0.01; **P* < 0.001 from inducer alone.

Table 2. Inhibition of Apoptosis and Mitochondrial Perturbation by UDCA

AGENT (cell type)	Inhibition (%)			
	Apoptosis	$-\Psi_m$	Superoxide Anion	Peroxides
DCA (Hepatocytes)	90.9 \pm 4.6	60.2 \pm 6.1*	93.5 \pm 17.2	65.8 \pm 12.4*
ETOH (Hepatocytes)	75.9 \pm 13.8	61.7 \pm 33.9	86.6 \pm 5.6	39.5 \pm 12.6
TGF- β 1 (HuH-7)	44.2 \pm 11.2	45.0 \pm 21.7	69.9 \pm 15.6	47.3 \pm 16.0
CH-11 (Hep G2)	83.2 \pm 7.0	63.1 \pm 11.9	55.1 \pm 10.8*	52.2 \pm 14.9*
OA (Saos-2)	81.8 \pm 2.3	21.9 \pm 10.7*	77.6 \pm 18.1	64.6 \pm 7.5

*Statistically significant decrease from % inhibition of apoptosis ($P < 0.001$ for OA; $P < 0.05$ for all others).

Data calculated from Figures 2-6 and Table 1.

Example II

Ursodeoxycholic Acid Inhibits Deoxycholic Acid-Induced Apoptosis by

10 Modulating Mitochondrial Transmembrane Potential and Reactive Oxygen Species Production

A. Materials and Methods

Animals and Diets. Male 160-175 g Sprague-Dawley rats (Sprague-Dawley, Indianapolis, IN), were maintained on a 12 h light-dark cycle and fed standard laboratory chow *ad libitum* for 3 days. The animals were then transferred to metabolic cages and fed diets of standard laboratory chow supplemented with either no bile acid or 0.4% (wt/wt) DCA, 0.4% UDCA, or a combination of DCA + UDCA (Bio-Serv, Frenchtown, NJ). On day 10, the animals were sacrificed by exsanguination under ether anesthesia between 9 a.m. and 11 a.m. The livers were removed, rinsed in normal saline, and flash-frozen

in liquid nitrogen until western blot analyses of apoptosis-related proteins were performed. All animals received humane care in compliance with the Guide for the Care and Use of Laboratory Animals, prepared by the National Academy of Sciences (NIH Publication No. 86-23, revised 1985).

- 5 *Mitochondrial Isolation.* Low calcium liver mitochondria were isolated from adult male 200-250 g Sprague-Dawley rats as previously published (Botla et al., J. Pharmacol. Exp. Ther., 272, 930-938 (1995); Walajtys-Rhode et al., J. Biol. Chem., 267, 370-379 (1992)). In short, animals were sacrificed by exsanguination under ether anesthesia and the livers removed and rinsed in
- 10 normal saline. Approximately 10 g of minced liver was homogenized in an ice-cold solution of 70 mM sucrose, 220 mM mannitol, 1 mM EGTA and 10 mM HEPES, pH 7.4 as a 10% (wt/vol) homogenate. After 2 low speed centrifugations, a crude mitochondrial pellet was purified by sucrose-percoll gradient centrifugation (Sokol et al., Gastroenterology, 99, 1061-1071 (1990)).
- 15 The pellet was resuspended in 2 ml of homogenate buffer, and 1 ml of the resuspended pellet was carefully layered onto a 35 ml self-generating gradient containing 0.25 M sucrose, 1 mM EGTA and percoll (75:25, vol/vol). The mitochondria were purified by centrifugation at 43,000 x g for 30 min at 4°C using a Beckman Ti60 rotor and a Beckman ultracentrifuge model L8-55
- 20 (Beckman Instruments, Inc., Schaumburg, IL). The clear supernatant solution was removed and the lower turbid layer was resuspended in 30 ml of wash buffer containing 0.1 M KCl, 5 mM 3-(N-morpholino)-propane sulfonic acid (MOPS), and 1 mM EGTA, at pH 7.4 and centrifuged at 7,000 x g for 10 min at 4°C. A final wash was carried out in chelex-100-treated buffer (Bio-Rad Laboratories,
- 25 Hercules, CA) without EGTA. The pellet was suspended in 4 ml of chelex-100-treated resuspension buffer containing 125 mM sucrose, 5 mM HEPES, 50 mM KCl and 2 mM KH₂PO₄. The usual yield of mitochondria was approximately 25 mg of protein per gram of liver tissue.

- Marker Enzyme and Protein Analysis.* Mitochondrial fractions were
- 30 analyzed for mitochondrial malate dehydrogenase (Dupourque et al., Methods Enzymol., 13, 116-122 (1969)), lysosomal N-acetyl-β-glucosaminidase (LaRusso et al., J. Clin. Invest., 64, 948-954 (1979)) and microsomal esterase (Beaufay et

al., J. Cell Biol., 61, 188-200 (1974)) enzymes as described previously. Protein concentrations were determined using the Bio-Rad protein assay (Bio-Rad Laboratories) as recommended by the manufacturer. Mitochondrial preparations were also examined for purity by phase contrast microscopy.

- 5 *Spectrophotometric and Fluorimetric Assays of MPT.* The MPT was assessed using a spectrophotometric assay measuring high amplitude rapid changes in mitochondrial volume, and a fluorimetric assay quantitating the release of calcein from calcein-loaded mitochondria. The MPT was measured spectrophotometrically as previously described (Pastorino, J. Biol. Chem., 268,
10 13791-13798 (1993); Botla et al., J. Pharmacol. Exp. Ther., 272, 930-938 (1995)). Briefly, mitochondria (3 mg protein) were incubated in 3 ml of chelex-100-treated respiration buffer (0.1 M NaCl, 10 mM MOPS, pH 7.4) for 10 min at 25°C and monitored at 540 nm in a Beckman DU 64 spectrophotometer. Basal values of mitochondrial absorbance were measured for 5 min, and the optical
15 density was monitored for an additional 5 min after addition of increasing concentrations of DCA (50-200 μ M) or 80 μ M phenylarsine oxide (PhAsO; Sigma Chemical Co., St. Louis, MO). For the coincubation studies, mitochondria were preincubated with UDCA (100-500 μ M), or 500 μ M hyodeoxycholic acid (HDCA; Sigma Chemical Co.) for 5 min at 25°C prior to
20 initiation of the assay. The inhibition of MPT by cyclosporine A (Sigma Chemical Co.) was determined as described previously (Botla et al., J. Pharmacol. Exp. Ther., 272, 930-938 (1995)).

- The fluorimetric assay was performed after loading the mitochondria with 10 μ M calcein-acetoxymethyl ester (AM) (Molecular Probes Inc., Eugene,
25 OR) for 30 min at 37°C in chelex-100 treated resuspension buffer before purification by sucrose-percoll gradient centrifugation (Botla et al., J. Pharmacol. Exp. Ther., 272, 930-938 (1995)). The assays were performed using calcein-loaded isolated mitochondria (1 mg protein/ml) in chelex-100-treated respiration buffer at 37°C. For the coincubation assays, the samples were preincubated for
30 10 min with 500 μ M UDCA prior to addition of 200 μ M DCA. The fluorescence of calcein was monitored by excitation and emission wavelengths

of 490 nm and 515 nm, respectively, using a Perkin-Elmer luminescence spectrometer model LS-5B (Perkin-Elmer Ltd., Buckinghamshire, England).

Measurement of $\Delta\Psi_m$ and ROS Production by FACS Analysis. $\Delta\Psi_m$ and ROS production were measured by FACScan (Becton Dickinson, San Jose, CA) analysis. Freshly isolated rat mitochondria were resuspended in respiration buffer (50-100 $\mu\text{g/ml}$) and then incubated for 15 min at 37°C with 50 nM 3,3'-dihexyloxacarbocyanine iodide [$\text{DiOC}_6(3)$], 2 μM dihydroethidium (HE), or 5 μM 2',7'-dichlorofluorescein diacetate (H_2DCFDA ; Molecular Probes Inc.) (Cathcart et al., Anal. Biochem., **134**, 111-116 (1983); Carter et al., J. Leukocyte Biol., **55**, 253-258 (1994); Zamzami et al., J. Exp. Med., **181**, 1661-1672 (1995)). Mitochondria were then treated with DCA (100 μM) or PhAsO (80 μM) for 5 min and analyzed by cytofluorometry. For the coincubation studies, mitochondria were preincubated with UDCA (500 μM) or HDCA (500 μM) prior to the addition of either DCA or PhAsO.

Western Blot Analysis. Cytoplasmic proteins were isolated from rat liver tissue as described previously (Trembley et al., Cell Growth & Differ., **7**, 903-916 (1996)). Briefly, frozen liver tissue from bile acid fed rats was ground to a powder in liquid nitrogen using a mortar and pestle followed by Dounce homogenization in hypotonic buffer containing 10 mM Tris, pH 7.6, 5 mM MgCl_2 , 1.5 mM KAc, 2 mM DTT, supplemented with the COMPLETE protease inhibitor cocktail (Boehringer Mannheim Biochemicals, Inc., Indianapolis, IN) at 4°C. Total liver lysate obtained by Dounce homogenization was centrifuged at 4°C for 10 min at 500 x g and the resulting supernatant was centrifuged a second time. Mitochondria were isolated from frozen liver tissue as described above using buffers supplemented with the protease inhibitor cocktail. Cytoplasmic and mitochondrial protein concentrations were determined using the Bio-Rad protein assay (Bio-Rad Laboratories). Proteins were separated using 15% (30:0.2) SDS-PAGE and electrophoretically transferred to nitrocellulose membrane. The membranes were processed for protein detection using the ECL system from Amersham Life Science, Inc. (Arlington Heights, IL) as described previously (Trembley et al., Cell Growth & Differ., **7**, 903-916 (1996)). The primary antibodies used were: Bax-polyclonal sc-6236; p53-monoclonal sc-99;

c-Myc-polyclonal sc-764 (Santa Cruz Biotechnology, Inc., Santa Cruz, CA); Bad-monoclonal B36420; Bcl-2-monoclonal B46620; Bcl-X_L-polyclonal B22630 (Transduction Laboratories, Lexington, KY); and Rb-monoclonal XZ161 (Dr. Ed Harlow, Boston, MA).

5 *Densitometry.* Video densitometry was accomplished using a Macintosh II (Apple Computer, Cupertino, CA) coupled to a Data Translation DT2255 video digitizer (Data Translation, Marlboro, MA) and a JVC GX-N8 video camera (JVC Corporation of America, Elmwood Park, NJ) as described previously (Kren et al., *J. Cell Biol.*, 123, 707-718 (1993)). Quantitation of the
10 autoradiograms was performed using the NIH Image 1.4 densitometric analysis program.

Statistical Analysis. Statistical analysis was performed using InStat version 2.1 for the ANOVA and Bonferroni's multiple comparison tests. Unless otherwise indicated, results are expressed as mean values \pm standard deviation
15 (S.D.).

B. Results

UDCA Inhibits DCA-induced MPT. Phase contrast microscopy of the isolated mitochondria indicated that they were intact, free of contamination, and
20 exhibited minimal clumping. In addition, the purity of the isolated mitochondrial fraction was assessed by marker enzyme studies. As shown in Table 3, below, the purified mitochondrial pellet was highly enriched in mitochondrial malate dehydrogenase activity with minimal contamination by lysosomal *N*-acetyl- β -glucosaminidase or microsomal esterase activity. Having
25 established the authenticity of the mitochondrial fraction, the MPT was measured using spectrophotometric and fluorimetric methods (Fig. 7A and 7B). It has been previously reported that incubation with glycochenodeoxycholic acid resulted in hepatocyte toxicity (Spivey et al., *Clin. Invest.*, 92, 17-24 (1993)) and induced MPT in isolated rat liver mitochondria (Botla et al., *J. Pharmacol. Exp.*
30 *Ther.*, 272, 930-938 (1995); Sokol et al., *Hepatology*, 17, 869-881 (1993)). In this study, incubation with DCA also induced significant changes in the MPT of isolated hepatic mitochondria (Fig. 7A). Mitochondrial swelling increased 25-

fold over control after a 5 min incubation with DCA ($p < 0.001$). In contrast, incubation with UDCA alone produced no significant changes in permeability relative to control values. Moreover, coincubation with UDCA protected against DCA-induced mitochondrial swelling by $> 40\%$ ($p < 0.001$). Membrane permeability was also assessed using calcein-loaded mitochondria. In fact, incubation with DCA resulted in significant unquenching of calcein fluorescence, indicative of increased mitochondrial leakage (Fig. 7B). Coincubation with UDCA inhibited DCA-induced calcein release from mitochondria by almost 50% ($p < 0.001$), in agreement with the observed inhibition of mitochondrial swelling.

Table 3. Enzymatic activities in fractionated mitochondria

Enzymes	Specific Activity ^a		Relative Enrichment ^b
	Homogenate	Pellet	
Malate dehydrogenase	1.14 \pm 0.25	4.05 \pm 0.25	3.64 \pm 0.44
<i>N</i> -acetyl- β -glucosaminidase	1.25 \pm 0.12	0.68 \pm 0.17	0.56 \pm 0.13
Microsomal esterase	0.50 \pm 0.04	0.07 \pm 0.01	0.14 \pm 0.06

Mitochondria were isolated from rat liver by sucrose-percoll gradient centrifugation. Data are mean \pm standard deviation (S.D.) from at least three different experiments.

^aSpecific activity represents units of enzyme activity/mg protein for malate dehydrogenase and microsomal esterase. Specific activity for *N*-acetyl- β -glucosaminidase represents milliunits of enzymatic activity/mg protein.

^bRelative enrichment represents the specific activity for the respective enzyme in the pellet relative to the specific activity in the homogenate.

The dose-response effect of DCA on MPT was examined and is shown in Figure 8A. Incubation with DCA resulted in high amplitude mitochondrial swelling that was rapid and dose-dependent. The observed MPT increased from 4-fold over control after incubation with 50 μ M to greater than 25-fold after incubation with 200 μ M DCA ($p < 0.001$). Significant inhibition of the DCA-induced MPT by 500 μ M UDCA occurred in both the 100 ($p < 0.05$) and 200

μM DCA ($p < 0.001$) treatment groups. Additionally, UDCA inhibited the DCA-induced MPT in a concentration-dependent fashion (Fig. 8B). When mitochondria suspended in respiration buffer were preincubated with increasing concentrations of UDCA for 5 min prior to the addition of 200 μM DCA, swelling decreased from $26.8 \pm 6.3\%$ with 100 μM UDCA to $16.9 \pm 2.3\%$ with 500 μM UDCA ($p < 0.001$). The addition of UDCA after incubation with DCA did not result in significant reversal of MPT (data not shown). We then determined whether the inhibition of the DCA-induced MPT by UDCA was bile acid-specific or simply a property of hydrophilicity. To address this issue, isolated mitochondria were incubated with a similarly hydrophilic bile acid HDCA. Interestingly, pretreatment of the isolated hepatic mitochondria with 500 μM HDCA did not decrease DCA-induced mitochondrial swelling (Fig. 8B) or prevent calcein release.

The significant mitochondrial swelling observed after incubation with DCA suggested that the observed MPT resulted from perturbation of the cyclosporine A/trifluoperazine-sensitive inner membrane large conductance channels (megapores), rather than nonspecific membrane disruption (Pastorino et al., *J. Biol. Chem.*, 268, 13791-13798 (1993); Bernardi, *J. Biol. Chem.*, 267, 8834-8839 (1992)). In fact, earlier studies showed that pretreatment of mitochondria with cyclosporine A and/or trifluoperazine inhibited swelling induced by glycine conjugated chenodeoxycholic acid (Botla et al., *J. Pharmacol. Exp. Ther.*, 272, 930-938 (1995)). These observations suggested that UDCA was interacting directly with the mitochondrial membrane and that it might be a general inhibitor of this form of MPT. To test this premise, we incubated isolated mitochondria with either PhAsO, a known inducer of the megapore opening form of MPT (Pastorino et al., *J. Biol. Chem.*, 268, 13791-13798 (1993); Bernardi, *J. Biol. Chem.*, 267, 8834-8839 (1992)), or a combination of PhAsO plus UDCA. When mitochondria were coincubated with 500 μM UDCA and 80 μM PhAsO, the MPT was reduced by approximately 50% compared to PhAsO alone ($p < 0.001$) (Fig. 8A). Moreover, DCA-induced mitochondrial swelling was inhibited by >45% with 5 μM cyclosporine A, a known inhibitor of the megapore channel. Finally, coincubation with both UDCA and cyclosporine

did not produce an additive effect, suggesting that they inhibited MPT by similar mechanisms. Thus, the data indicate that both the induction of MPT by DCA and its inhibition by UDCA in isolated rat liver mitochondria are dose-dependent. Moreover, the ability of UDCA to act as a general inhibitor of the megapore form of MPT appears to be bile acid-specific and is not simply a property of its hydrophilicity.

UDCA Inhibits Disruption of $\Delta\Psi_m$ and ROS Production. FACScan analysis confirmed the existence of mitochondrial perturbation during treatment of isolated mitochondria with DCA. Significant changes were detected in both $\Delta\Psi_m$ and ROS production when isolated mitochondria were exposed to 100 μM DCA for 5 min in the presence or absence of 500 μM UDCA. As shown in Figure 9A, the percentage of low $\Delta\Psi_m$ was increased after DCA treatment ($16.0 \pm 1.8\%$ vs. $13.1 \pm 2.4\%$). Incubation with UDCA alone produced no significant changes in $\Delta\Psi_m$ compared to controls. Moreover, UDCA protected against the DCA-induced increase in the percentage of low $\Delta\Psi_m$ ($10.3 \pm 0.7\%$; $p < 0.05$). Disruption of $\Delta\Psi_m$ with DCA was closely followed by an increased production of the ROS superoxide anion, as measured by dihydroethidium oxidation to ethidium (Fig. 9B). Superoxide anion production was significantly increased after DCA treatment ($20.7 \pm 2.4\%$ vs. $13.8 \pm 0.8\%$; $p < 0.01$) and slightly decreased after UDCA incubation alone ($11.8 \pm 2.8\%$). Furthermore, UDCA inhibited the production of superoxides induced by DCA ($15.9 \pm 1.5\%$, $p < 0.05$). Generation of other ROS, including hydrogen peroxide and hydroxyl radical measured using H_2DCFDA was also substantially increased during DCA incubation compared to untreated mitochondria (Fig. 9C). Conversely, incubation with UDCA alone slightly decreased the percentage of other ROS. When the two bile acids were combined, UDCA completely prevented the changes associated with the hydrophobic bile acid ($21.7 \pm 3.5\%$ vs. $14.7 \pm 1.4\%$, $p < 0.05$).

When mitochondria were coincubated with 500 μM UDCA, $\Delta\Psi_m$ disruption was reduced by approximately 65% when compared with 80 μM PhAsO alone (Fig. 10A). Similarly, ROS production of superoxide anions and

peroxides were reduced 100 and 55%, respectively, (Figs. 10B and 10C) when UDCA was coincubated with PhAsO ($p < 0.05$, or lower). In contrast, coincubation of isolated mitochondria with 500 μ M HDCA did not prevent DCA-mediated changes in $\Delta\Psi_m$ (Fig. 11A) and ROS production (Figs. 11B and 11C). Also, no changes were observed with HDCA alone.

Modulation of Apoptosis-Related Protein Expression with Bile Acid

Feeding. To examine the potential chronic effect of bile acids on apoptosis-associated gene expression, we determined liver cytoplasmic and mitochondrial steady-state protein levels for Bcl-2, Bcl-X_L, Bax, and Bad. Cytoplasmic levels of the pro-apoptotic protein Bax showed no significant change across all groups of animals regardless of whether bile acids were included in the diet (Fig. 12). In contrast, Bad expression was increased approximately 2-fold with DCA. Interestingly, although UDCA alone decreased Bad expression, the combination of DCA + UDCA increased protein abundance 5-fold ($p < 0.001$). The steady-state levels of the anti-apoptotic protein Bcl-2 remained invariant in all animals, while the expression of its homolog Bcl-X_L increased after the administration of UDCA alone ($p < 0.05$) or when it was combined with DCA. In contrast to these results, DCA feeding was associated with a 4.5-fold increase in mitochondrial-associated Bax ($p < 0.001$) (Fig. 13). Combination feeding with UDCA prevented this dramatic change even though UDCA feeding alone increased Bax expression slightly above baseline. The pro-apoptotic protein Bad was detected in very low levels in mitochondria in all the bile acid fed groups relative to control. In fact, mitochondrial abundance of this protein was decreased to $< 15\%$ control values after bile acid feeding ($p < 0.001$). The administration of DCA significantly increased the abundance of Bcl-2 in mitochondria relative to controls ($p < 0.05$) and UDCA ($p < 0.01$) fed animals. However, combination feeding of both bile acids decreased Bcl-2 expression to near baseline values. Finally, no significant changes were observed across all groups in mitochondrial abundance of the anti-apoptotic protein Bcl-X_L.

We also determined liver cytoplasmic protein levels for c-Myc, p53, and retinoblastoma, since alterations in their expression levels have been associated with hepatocyte apoptosis. Interestingly, bile acid feeding did not induce

significant changes in cytoplasmic levels of the tumor suppressor p53 (data not shown). Similarly, no significant changes in cytoplasmic c-Myc or retinoblastoma levels were detected in any of the groups relative to controls.

5 **Example III**

Ursodeoxycholic Acid Inhibits Bax Translocation to the Mitochondrial Membrane

A. Materials and Methods

- 10 *Cell culture and preparation of rat primary hepatocytes.* Rat primary hepatocytes were isolated from male Sprague-Dawley rats (200-250 g) by collagenase perfusion as described by Mariash, et al., *J. Biol. Chem.* **261**, 9583-9586 (1986). Briefly, rats were anesthetized with phenobarbital and the livers were perfused with 0.05% collagenase. Hepatocyte suspensions were obtained
- 15 by passing digested livers through 0.125 mm gauze and washing cells in modified Eagle's medium (MEM) (Life Technologies, Inc., Grand Island, NY). Cell viability was determined by trypan blue exclusion and was typically 85 to 90%. After isolation, hepatocytes were resuspended in William's E medium (Life Technologies, Inc.) supplemented with 26 mM sodium bicarbonate, 23 mM
- 20 HEPES, 0.01 U/mL insulin, 2 mM L-glutamine, 10 nM dexamethasone, 5.5 mM glucose, 100 U/ml penicillin and 100 U/ml streptomycin and then plated on 35 x 10 mm PRIMARIA tissue culture dishes (Becton Dickinson Labware, Lincoln Park, NJ) at 1.0×10^6 cells/ml. The cells were maintained at 37°C in a humidified atmosphere of 5% CO₂ for 3 h. Plates were then washed with
- 25 medium to remove dead cells, and medium containing 10% heat-inactivated FBS (55°C for 30 min) was added (Atlanta Biologicals, Inc., Norcross, GA).

- Incubation of cells with inducers of apoptosis.* Freshly isolated rat hepatocytes were cultured for 3 h as described above and then incubated with William's E medium supplemented with either 50 μ M DCA for 4 h, 1 nM TGF-
- 30 β 1 for 24 h, or 25 nM okadaic acid for 16 h, in the presence or absence of 100 μ M UDCA, or no addition (control). The medium was gently removed at the indicated time points and scored for nonviable cells by trypan blue dye

exclusion. The attached cells were fixed for morphologic assessment of apoptotic changes.

Morphological evaluation of apoptosis. The medium was gently removed at the indicated time points to prevent detachment of cells. Cells were fixed with
5 4% formaldehyde in PBS, pH 7.4, for 10 min at room temperature, incubated with Hoechst dye 33258 (Sigma Chemical Co., St. Louis, MO) at 5 mg/mL in PBS for 5 min, washed with PBS and mounted with PBS:glycerol (3:1, v/v). Fluorescence was visualized with a Zeiss standard fluorescence microscope (Carl Zeiss, Inc., Thornwood, NY). Photographs were taken with Kodak Ektar-1000
10 film (Eastman Kodak Co.). Stained nuclei were scored by blind analysis and categorized according to the condensation and staining characteristics of chromatin. Normal nuclei were identified as noncondensed chromatin dispersed over the entire nucleus. Apoptotic nuclei were identified by condensed chromatin, contiguous to the nuclear membrane, as well as nuclear fragmentation
15 of condensed chromatin. Three fields per dish of approximately 500 nuclei were counted; mean values are expressed as the percent of apoptotic nuclei.

Isolation of cytosol and mitochondrial fractions and determination of cytochrome c and Bax content. Freshly isolated rat hepatocytes were cultured for 3 hours as described above and then incubated with William's medium
20 supplemented with either 50 μ M of DCA for 4 h, 1 nM TGF- β 1 for 24 h and 25 mM okadaic acid for 16 h, in the presence or absence of 100 μ M UDCA, or no addition (control). Time-course experiments were also performed. Cells (1.0×10^7 /ml) were harvested by centrifugation at 600 x g for 5 min at 4°C. The cell pellets were washed once in ice-cold PBS and resuspended with 3 volumes of
25 isolation buffer containing 20 mM Hepes-KOH, pH 7.5, 10 mM KCl, 1.5 mM MgCl₂, 1 mM sodium EDTA, 1 mM sodium EGTA, 1 mM dithiothreitol (DTT), supplemented with the COMPLETE protease inhibitor cocktail (Boehringer Mannheim Biochemicals, Inc., Indianapolis, IN) in 250 mM sucrose. After chilling on ice for 15 min, the cells were disrupted by 40 strokes of a glass
30 homogenizer and the homogenates were centrifuged twice at 2,500 x g for 10 min at 4°C to remove unbroken cells and nuclei. The mitochondria were pelleted by centrifugation at 12,000 x g for 30 min at 4°C, resuspended in

isolation buffer containing 250 mM sucrose and frozen at -80°C. The supernatants of the 12,000 x g spin were removed, filtered through 0.2 µm and then 0.1 µm Ultrafree MC filters (Millipore) to give cytosolic protein, an frozen at -80°C. Mitochondrial and cytosolic proteins were separated on a 15 % SDS-
5 polyacrylamide electrophoresis gel, transferred to nitrocellulose membranes, and incubated with 15% H₂O₂ for 15 min at room temperature. Blots were sequentially incubated with 5% milk blocking solution, primary monoclonal antibody to cytochrome c (Pharmigen, San Diego, CA) at a dilution of 1:5,000, overnight at 4°C, and finally with secondary goat anti-mouse IgG antibody
10 conjugated with horseradish peroxidase (Bio-Rad Laboratories, Hercules, CA) for 2 h at room temperature. For the determination of Bax translocation from the cytosol to mitochondria, the blots were probed with primary polyclonal antibody to Bax (Santa Cruz Biotechnology, Santa Cruz, CA), and then with secondary anti-rabbit antibody conjugated with horseradish peroxidase. The
15 membranes were processed for cytochrome c and Bax detection using the system commercially available under the trade designation ECL, from Amersham Life Science, Inc. (Arlington Heights, IL).

Mitochondria isolation. Low calcium liver mitochondria were isolated from adult male 200-250 g Sprague-Dawley rats as previously described by Botla et
20 al., J. Pharmacol. Exp. Ther., 272, 930-938 (1995) and Watajtys et al., J. Biol. Chem., 267, 370-379 (1992). In short, animals were sacrificed by exsanguination under ether anesthesia and the livers removed and rinsed in normal saline. Approximately 10 g of minced liver was homogenized at a speed of 800 rpm using 6 complete up and down strokes with a speed controlled
25 mechanical skill drill and a teflon pestle (Tri-R Model K41, Tri-R Instruments, Rockville Center, NY) in an ice-cold solution of 70 mM sucrose, 220 mM mannitol, 1 mM EGTA and 10 mM HEPES, pH 7.4 as a 10% (wt/vol) homogenate. The homogenate was centrifuged at 600 x g for 10 min at 4°C in an SS-34 rotor in a Sorvall RC5C centrifuge (Sorvall Instruments, Newtown,
30 CT), and the postnuclear supernatant was centrifuged at 7,000 x g for 10 min at 4°C. The crude mitochondrial pellet was further purified by sucrose-percoll

gradient centrifugation as described by Sokol et al., Gastroenterology, 99, 1061-1071 (1990). The pellet was resuspended in 2 mL of homogenate buffer, and 1 mL of the resuspended pellet was carefully layered onto a 35-mL self-generating gradient containing 0.25 M sucrose, 1 mM EGTA and percoll (Pharmacia Fine Chemicals, Piscataway, NJ) (75:25, vol/vol). The mitochondria were purified by centrifugation at 43,000 x g for 30 min at 4°C using a Beckman Ti60 rotor and a Beckman ultracentrifuge model L8-55 (Beckman Instruments, Inc., Schaumburg, IL). The clear supernatant solution removed and the lower turbid layer was resuspended in 30 mL of wash buffer containing 0.1 M KCl, 5 mM 3-(N-morpholino)-propane sulfonic acid (MOPS), and 1 mM EGTA, at pH 7.4 and centrifuged at 7,000 x g for 10 min at 4°C. The resulting mitochondria pellet was washed in wash buffer two times. A final wash was carried out in chelex-100-treated buffer (Bio-Rad Laboratories, Richmond, VA; 200-400 mesh, potassium form) without EGTA. The pellet was suspended in 4 mL of chelex-100-treated resuspension buffer containing 125 mM sucrose, 50 mM KCl, 5 mM HEPES, and 2 mM KH₂PO₄. The usual yield of mitochondria was approximately 25 mg of protein per gram of liver tissue. Mitochondria were used for experiments within 3 h of isolation. Aliquots were removed for examining the purity of the mitochondria preparation.

Marker enzymes and protein analysis. Mitochondria fractions were analyzed for mitochondrial malate dehydrogenase, lysosomal N-acetyl-β-glucosaminidase, and microsomal esterase enzymes. Protein concentrations were determined using the Bio-Rad protein assay (Bio-Rad Laboratories) as recommended by the manufacturer.

Measurement of MPT and determination of cytochrome c content in supernatants and mitochondrial pellets after MPT. The MPT was assessed using a spectrophotometric assay measuring high amplitude rapid changes in mitochondria volume. Mitochondria (1 mg protein) were incubated in 1 ml of chelex-100-treated respiration buffer (0.1 M NaCl, 10 mM MOPS, pH 7.4) for 10 min at 25°C and swelling was monitored at 540 nm in a Beckman DU 64 spectrophotometer. Malate and glutamate (1 mM) were added to initiate respiration, and 3 min later rotenone (5 μM), an inhibitor of complex I of the

respiratory chain, was also added to the suspension. Basal values of mitochondria absorbance were measured for 5 min, and the optical density was monitored for an additional 5 min after addition 200 μ M DCA or 80 μ M phenylarsine oxide (PhAsO; Sigma Chemical Co.). For the coincubation studies, mitochondria were
5 preincubated with 5 μ M CyA, or 500 μ M UDCA, hyodeoxycholic acid, tauroursodeoxycholic acid, glycocholic acid (Sigma Chemical Co.), or glyoursodeoxycholic acid (Steraloids) for 5 min at 25°C prior to initiation of the assay. Following MPT assays, mitochondria were spun down at 12,000 x g for 3 min at 4°C. Aliquots (20 μ l) of the supernatant and pellet were subjected to
10 SDS-polyacrylamide gel electrophoresis (15%) for detection of cytochrome *c* release as described above.

Electron microscopy. The mitochondrial pellet after the MPT assay was fixed overnight in 6% glutaraldehyde in cacodylate buffer, pH 7.2. The mitochondria were then rinsed with 0.1 M PIPES buffer, followed by a 20 min
15 postfix in cacodylated-buffered 2% OsO₄. Next, the mitochondria were dehydrated in progressive concentrations of ethanol followed by 100% propylene oxide, and embedded in Epon 812/Araldite resin. Sections (70-100 nm) were cut, placed on 200 nm copper grids and stained with lead citrate. The morphology of the isolated mitochondria after the MPT assays was studied by taking
20 micrographs using a JEOL electron microscope at 80 Kv.

Detection of caspase 3 activity. The assay is based on the ability of the active enzyme to cleave the chromophore *p*-nitroanilide (pNA) from the enzyme substrate N-acetyl-Asp-Glu-Val-Asp-pNA (DEVD-pNA) (Sigma Chemical Co.). The proteolytic reaction was carried out in extraction buffer, containing 20 μ g of
25 cytosolic protein and 50 μ M DEVD-pNA. The reaction mixtures were incubated at 37°C for 1 h, and the formation of pNA was measured at 405 nm using a 96-well plate reader.

Measurement of mitochondrial membrane potential. Mitochondrial energization was determined as the retention of the dye 3,3'-
30 dihexyloxacarbocyanine (DiOC₆(3); Molecular Probes Inc, Eugene, OR). Primary rat hepatocytes were loaded with 100 nM DiOC₆(3) during the last 30

min of treatment with TGF- β 1, okadaic acid, or deoxycholic acid, in the presence or absence of UDCA. The supernatant was removed and the pellet washed twice in ice-cold-PBS. The pellet was lysed by the addition of 600 μ L of deionized water followed by homogenization. The concentration of retained DiOC₆(3)

5 was read on a Perkin-Elmer LS-5 fluorescence spectrophotometer at 488 nm excitation and 500 nm emission.

Determination of PARP cleavage. For the determination of PARP cleavage, total protein were separated on a 8 % SDS-polyacrylamide electrophoresis gel. Blots were probed with primary polyclonal antibody to PARP (Santa Cruz

10 Biotechnology).

Densitometry. Video densitometry was accomplished using a Macintosh II (Apple Computer, Cupertino, CA) coupled to a Data Translation DT2255 video digitizer (Data Translation, Marlboro, MA) and a JVC GX-N8 video camera (JVC Corporation of America, Elmwood Park, NJ). Quantitation of the

15 autoradiograms used the NIH Image 1.4 densitometric analysis program.

Statistical analysis. Statistical analysis was performed using InStat version 2.1 for the ANOVA and Bonferroni's multiple comparison tests. Unless otherwise indicated, results are expressed as mean values \pm standard deviation (S.D.).

20

B. Results

Ursodeoxycholic acid (UDCA) plays a central role in modulating the apoptotic threshold in both hepatic and non-hepatic cells. The results indicated that the inhibition of mitochondrial membrane permeability transition (MPT) is

25 one pathway by which UDCA protects against cell death. Mitochondrial cytochrome c translocates to the cytosol of cells undergoing apoptosis, where it participates in the activation of DEVD-specific caspases. The apoptotic protein Bax may produce cell death upon induction of MPT, which in turn causes release of cytochrome c from the mitochondria. Here, we demonstrated that the

30 mitochondria depolarization induced by deoxycholic acid, TGF- β 1 and okadaic acid was accompanied by the release of cytochrome c from the mitochondria, caspase-3 activation in the cytosol, and cleavage of the nuclear enzyme PARP,

all of which were markedly inhibited by UDCA. Moreover, UDCA partially prevented the translocation of Bax from the cytosol to the mitochondria observed during apoptosis.

The percentage of apoptotic cells in isolated rat hepatocytes increased from 2% in the control to 7%, 30%, and 75% after incubation with 50 μ M deoxycholic acid for 4 hours, 1nM TGF- β 1 for 24 hours, and 25 nM okadaic acid for 16 hours, respectively ($P < 0.001$). Coincubation of 100 μ M UDCA with each of the apoptosis-inducing agents was associated with a >80% inhibition of apoptosis ($P < 0.001$). The loss of mitochondrial membrane potential in intact cells induced by deoxycholic acid, TGF- β 1 and okadaic acid was accompanied by a progressive release of cytochrome c to the cytosol and a concomitant decrease in the content of cytochrome c in the mitochondria. Cytochrome c release to the cytosol and its depletion from the mitochondria were inhibited by 60% and 70% in the presence of UDCA, respectively ($P < 0.001$). Furthermore, UDCA reduced the release of cytochrome c in isolated mitochondria associated with both deoxycholic acid and phenylarsine oxide by 70% and 65%, respectively ($P < 0.001$), concomitant with its effect on reducing MPT. Inhibition of deoxycholic acid-induced MPT and cytochrome c release were also observed when taurine- and glycine-conjugated derivatives of UDCA were added to isolated mitochondria. Similarly, cyclosporine A, an inhibitor of the megapore channel, reduced deoxycholic acid-induced mitochondria swelling and cytochrome c release. TGF- β 1 and okadaic acid did not induce mitochondria swelling nor did they cause translocation of cytochrome c to the supernatants. Cleavage of the nuclear enzyme PARP by caspase-3 was also studied as another prominent indicator of apoptosis. Addition of deoxycholic acid, TGF- β 1 and okadaic acid to isolated rat hepatocytes resulted in a progressive cleavage of PARP, while pretreatment with UDCA prevented this cleavage by 60% ($P < 0.001$). Similarly, steady increases were observed in the caspase-3 activity of cytosolic extracts of primary hepatocytes treated with the inducers of apoptosis, an effect significantly prevented by UDCA ($P < 0.001$).

We next questioned whether UDCA protective effects could be explained by its effect on preventing the redistribution of the proapoptotic molecule Bax

from the cytosol to the mitochondria. Following induction of apoptosis, a 40%-70% decrease of cytosolic Bax was observed concomitant with a similar increase of mitochondrial Bax, while preincubation with UDCA prevented Bax translocation by 45% ($P < 0.01$).

5 These data support a model in which mitochondrial membrane perturbation during apoptosis is modulated by UDCA. This hydrophilic bile acid prevents the translocation of Bax from the cytosol to the mitochondria thereby inhibiting other manifestations of apoptosis, such as release of cytochrome c, caspase activation with PARP cleavage, and nuclear fragmentation.

10 The complete disclosure of all patents, patent documents, and publications cited herein are incorporated by reference. The foregoing detailed description and examples have been given for clarity of understanding only. No unnecessary limitations are to be understood therefrom. The invention is not limited to the exact details shown and described, for variations obvious to one
15 skilled in the art will be included within the invention defined by the claims.

WHAT IS CLAIMED IS:

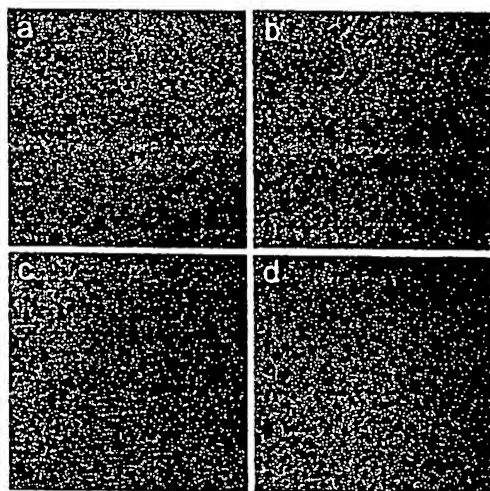
1. A method for limiting apoptosis of a mammalian cell population, the method comprising contacting the cell population with an effective amount of an apoptotic limiting compound selected from the group of
5 ursodeoxycholic acid, a salt thereof, an analog thereof, and a combination thereof, wherein the apoptosis is induced by a nonmembrane damaging agent.
2. The method of claim 1 wherein the nonmembrane damaging agent is
10 selected from the group of TGF- β 1, anti-Fas antibody, and okadaic acid.
3. The method of claim 1 wherein the cell population comprises hepatocytes.
- 15 4. The method of claim 1 wherein the cell population comprises astrocytes.
5. The method of claim 1 wherein the contacting step occurs *in vitro*.
6. The method of claim 1 wherein the contacting step occurs *in vivo*.
20
7. The method of claim 1 wherein the cell population is a human cell population.
8. The method of claim 1 wherein the step of contacting comprises
25 administering to a patient an effective amount of an apoptotic limiting compound selected from the group of ursodeoxycholic acid, a salt thereof, an analog thereof, and a combination thereof.

9. The method of claim 8 wherein the apoptotic limiting compound is administered in combination with a pharmaceutically acceptable carrier.
10. The method of claim 9 wherein the step of administering comprises administering parenterally.
11. The method of claim 9 wherein the step of administering comprises administering orally.
12. A method for limiting apoptosis of a mammalian cell population, the method comprising contacting the cell population with an effective amount of an apoptotic limiting compound selected from the group of ursodeoxycholic acid, a salt thereof, an analog thereof, and a combination thereof, wherein the apoptosis is induced by ethanol.
13. A method for limiting apoptosis of a human cell population, the method comprising contacting the cell population with an effective amount of an apoptotic limiting compound selected from the group of hydrophilic bile acid, a salt thereof, an analog thereof, and a combination thereof, wherein the apoptosis is induced by a hydrophobic bile acid.
14. A method for limiting apoptosis of a mammalian cell population, the method comprising contacting the cell population with an effective amount of an apoptotic limiting compound selected from the group of hydrophilic bile acid, a salt thereof, an analog thereof, and a combination thereof, wherein the apoptosis is induced by TGF- β 1, anti-Fas antibody, okadaic acid, or unconjugated bilirubin.

15. A method for inhibiting apoptosis associated with a nonliver disease *in vivo*, the method comprising administering ursodeoxycholic acid, a salt thereof, an analog thereof, or a combination thereof.
- 5 16. The method of claim 15 wherein the nonliver disease is an autoimmune disease, a cardiovascular disease, or a neurodegenerative disease.
17. A method of reducing expression of *c-myc* in a cell, the method comprising contacting the cell with an effective amount of
10 ursodeoxycholic acid, a salt thereof, an analog thereof, or a combination thereof.
18. A method of increasing levels of Bcl-X_L in a cell, the method comprising contacting the cell with an effective amount of ursodeoxycholic acid, a
15 salt thereof, an analog thereof, or a combination thereof.
19. A method of inhibiting Bax translocation from the cytoplasm of a cell to a mitochondrial membrane, the method comprising contacting the cell with an effective amount of ursodeoxycholic acid, a salt thereof, an
20 analog thereof, or a combination thereof.
20. A method for limiting apoptosis of a mammalian cell population, the method comprising contacting the cell population with an effective amount of an apoptotic limiting compound selected from the group of
25 ursodeoxycholic acid, a salt thereof, an analog thereof, and a combination thereof, wherein the apoptosis is induced by a membrane damaging agent selected from the group consisting of unconjugated bilirubin, conjugated bilirubin, and a combination thereof.

21. The method of claim 20 wherein the cell population comprises hepatocytes.
- 5 22. The method of claim 20 wherein the cell population comprises astrocytes.
23. The method of claim 20 wherein the contacting step occurs *in vitro*.
24. The method of claim 20 wherein the contacting step occurs *in vivo*.
- 10 25. The method of claim 20 wherein the cell population is a human cell population.
26. The method of claim 20 wherein the step of contacting comprises
15 administering to a patient an effective amount of an apoptotic limiting compound selected from the group of ursodeoxycholic acid, a salt thereof, an analog thereof, and a combination thereof.
27. The method of claim 26 wherein the apoptotic limiting compound is
20 administered in combination with a pharmaceutically acceptable carrier.
28. The method of claim 27 wherein the step of administering comprises administering parenterally.
- 25 29. The method of claim 27 wherein the step of administering comprises administering orally.

A



B

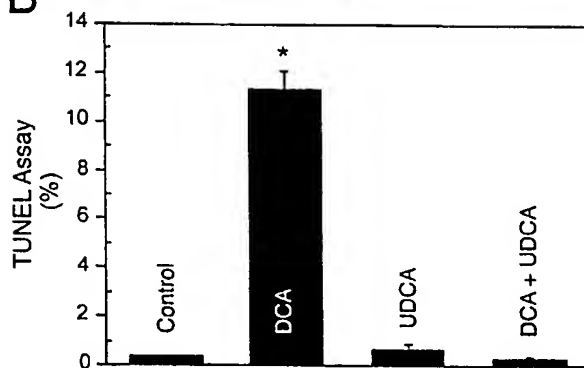


Figure 1

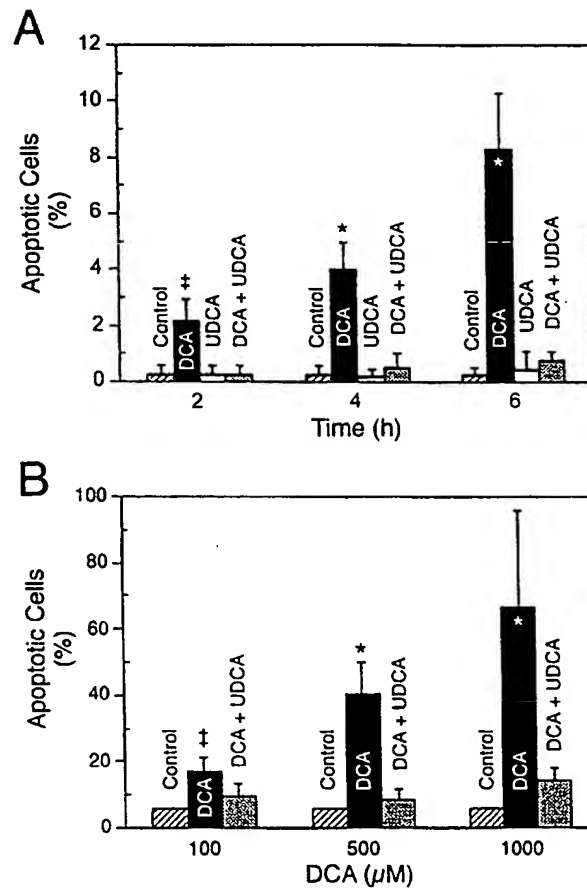


Figure 2

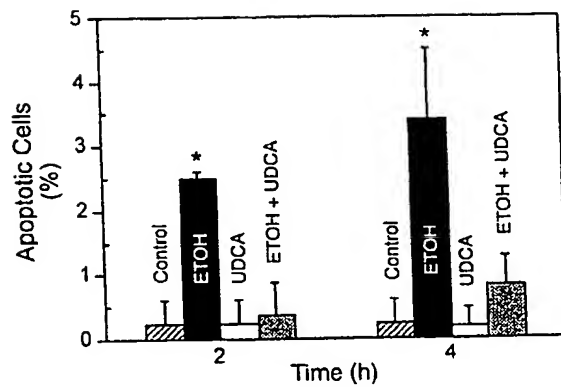


Figure 3

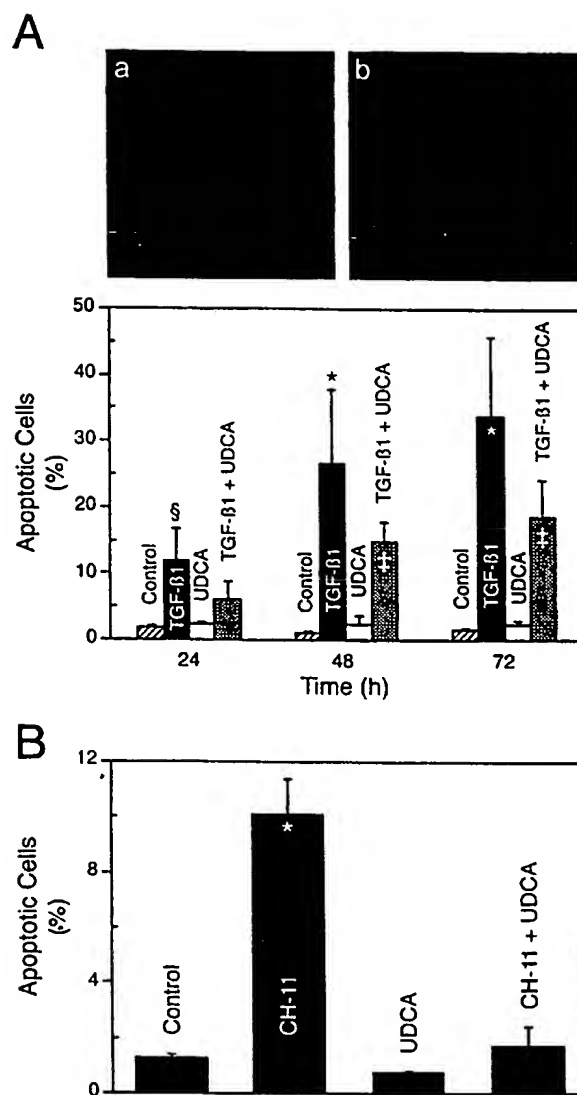


Figure 4

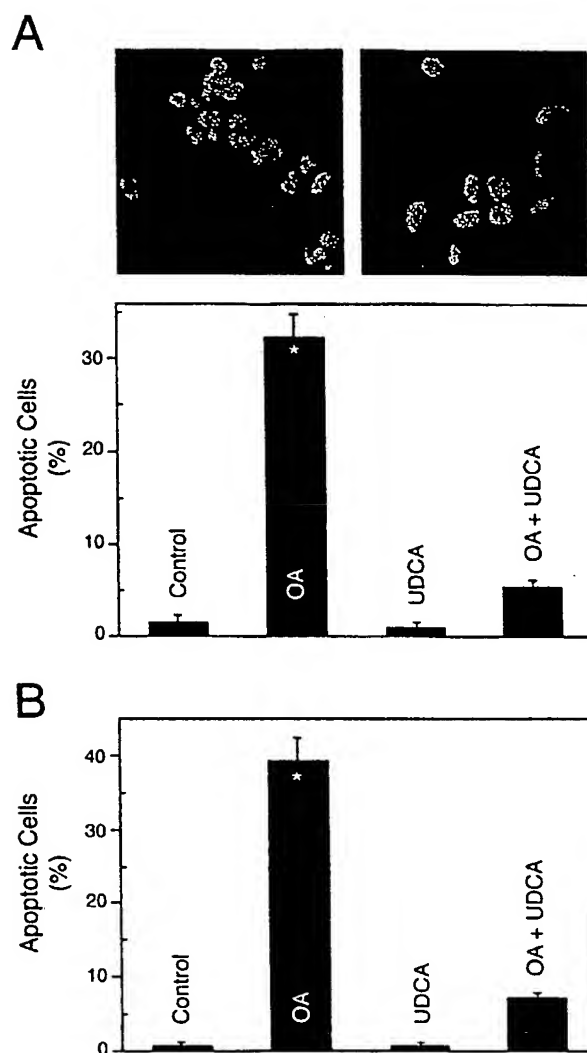
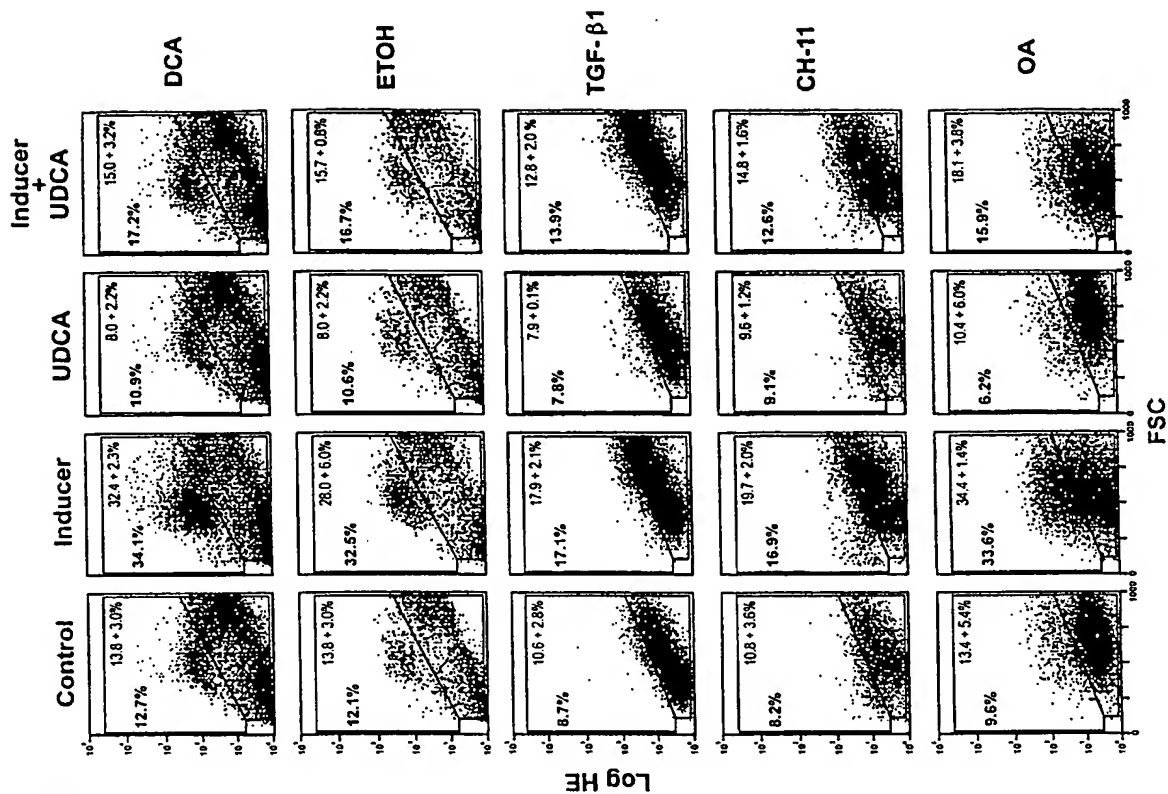


Figure 5



B

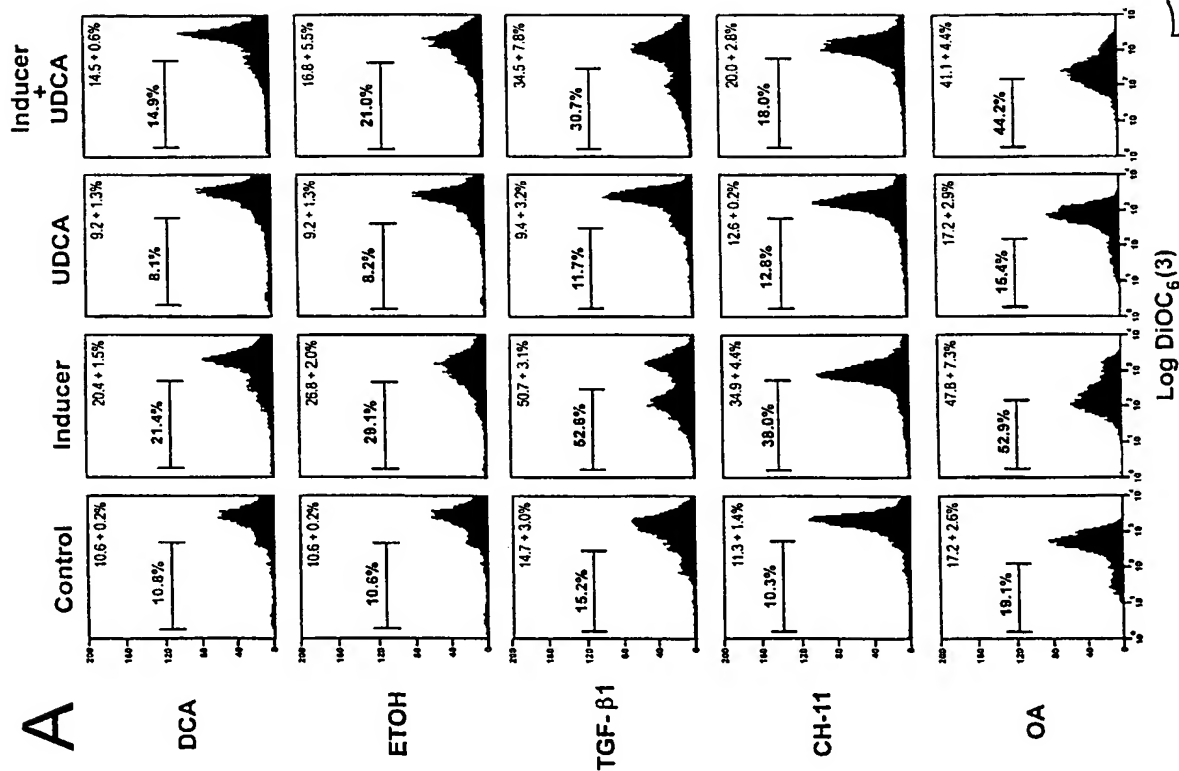


Figure 6

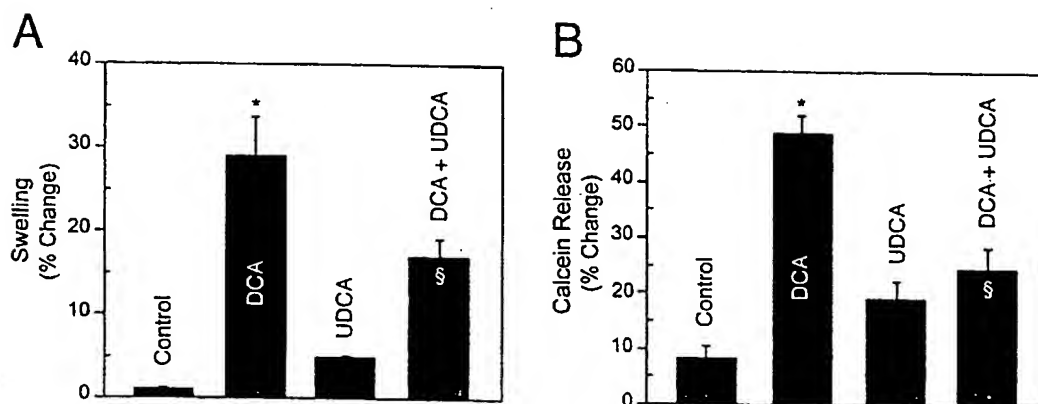


Figure 7

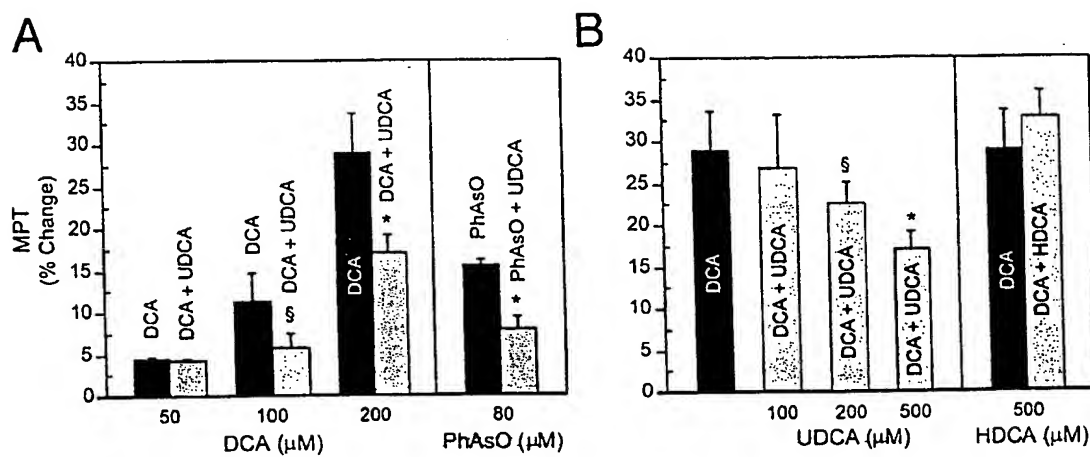


Figure 8

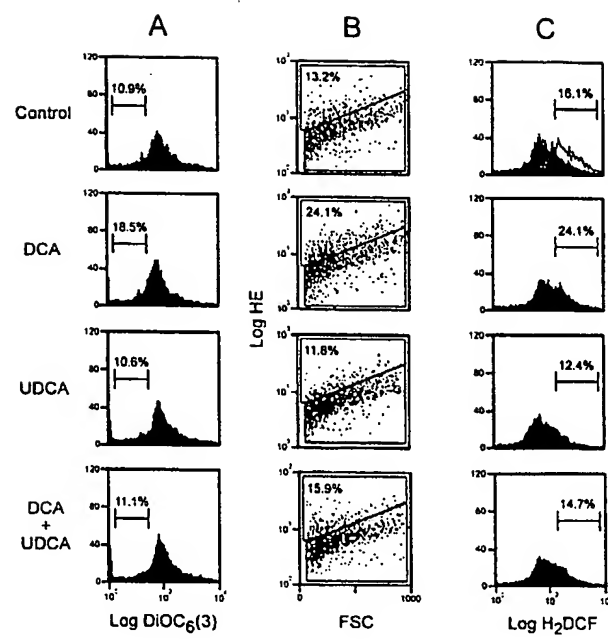


Figure 9

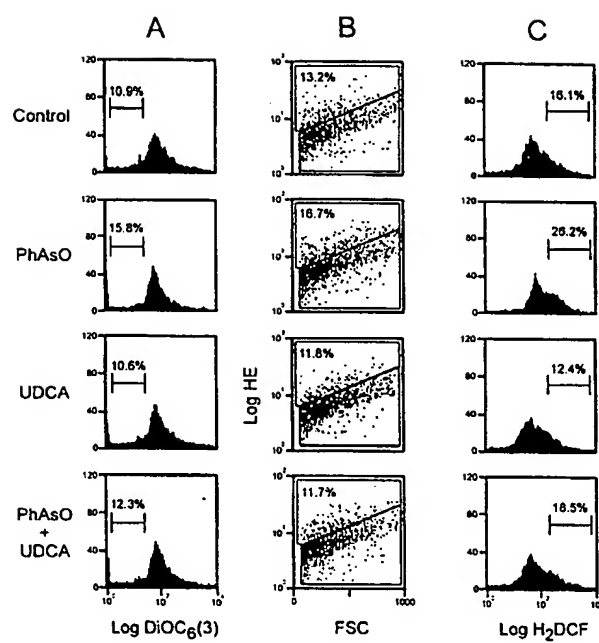


Figure 10

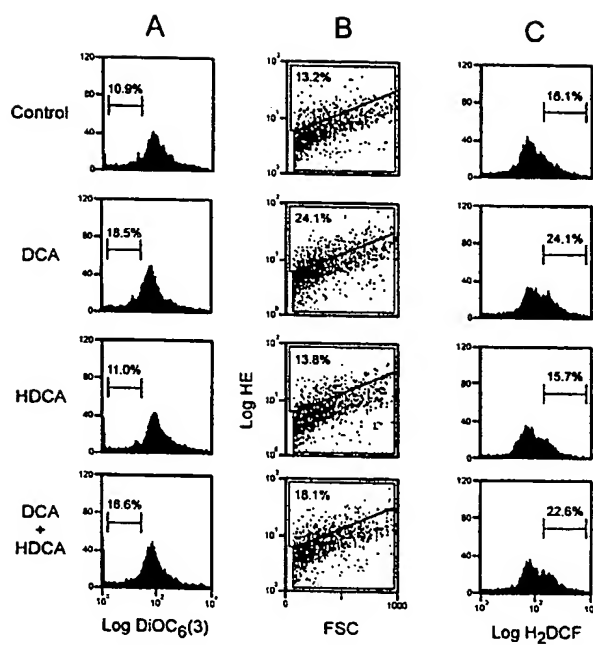


Figure 11

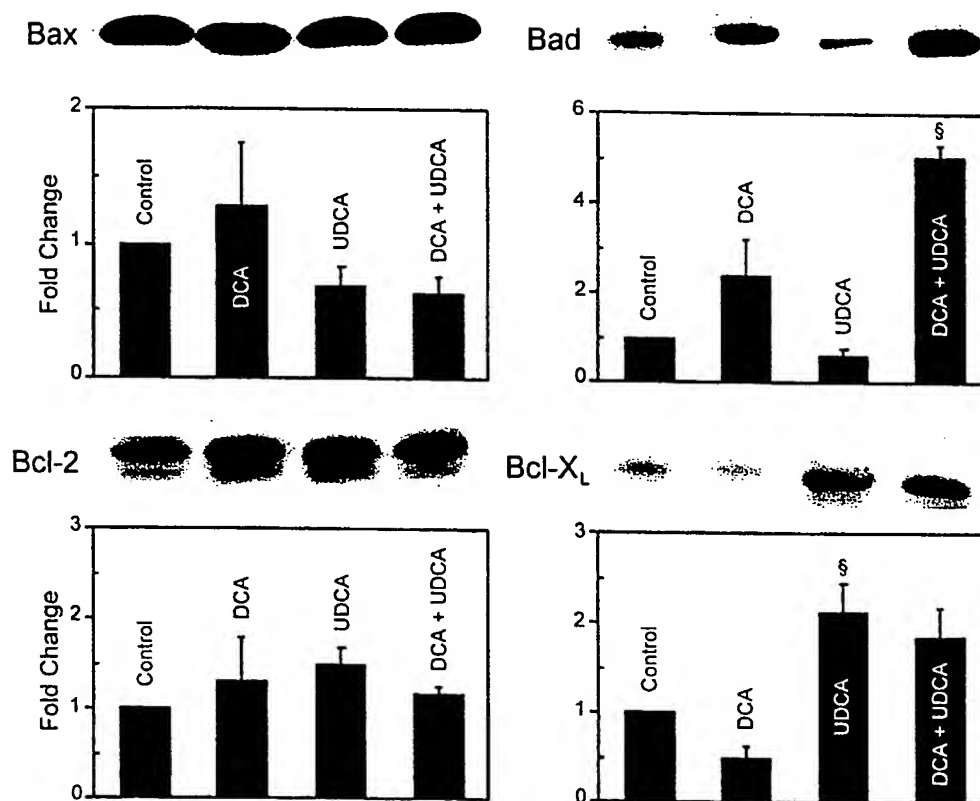


Figure 12

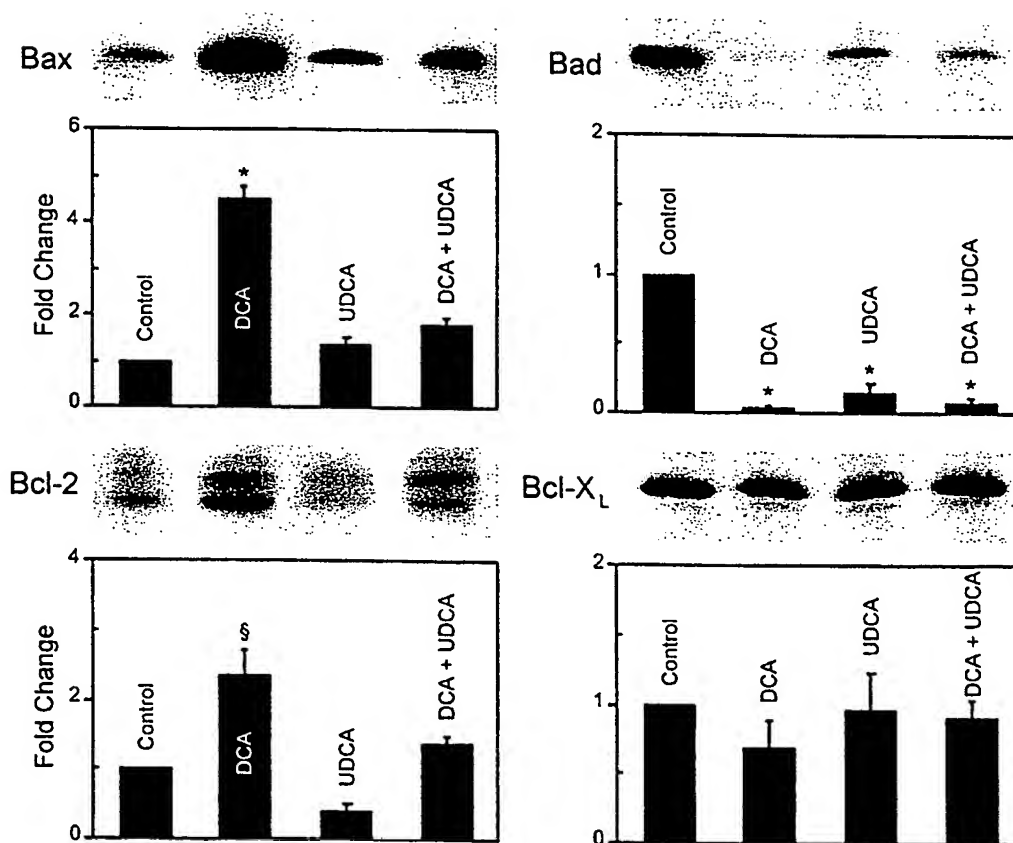



Figure 13

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US98/20168

A. CLASSIFICATION OF SUBJECT MATTER IPC(6) :A61K 31/56 US CL :514/182 According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) U.S. : NONE Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched NONE Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) Please See Extra Sheet.		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A, P	US 5,672,603 A (NAKAI et al) 30 September 1997, see abstract.	1-29
A	US 5,656,725 A (CHITTENDEN et al) 12 August 1997, see abstract.	1-29
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
A	Special categories of cited documents: document defining the general state of the art which is not considered to be of particular relevance	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
B	earlier document published on or after the international filing date	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
L	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
O	document referring to an oral disclosure, use, exhibition or other means	*G* document member of the same patent family
P	document published prior to the international filing date but later than the priority date claimed	
Date of the actual completion of the international search 21 DECEMBER 1998		Date of mailing of the international search report 28 JAN 1999
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230		Authorized officer  DWAYNE C. JONES Telephone No. (703) 308-1235

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US98/20168

B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

REGISTRY, HCAPLUS, BIOSIS, EMBASE, MEDLINE, WPIDS, USPATFULL structure search with the following terms: apoptosis or apoptotic, anti fas or okadaic acid or ethanol or bile acid or bilirubin or c-myc or bcl-x, cell populat?, astocytes

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record.**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☒ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.